HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

LEGISLATIVE RESOURCE CENTER

MC

(For Official Use Only)

NAME: Rohit Khanna	OFFICE TELEPHONE: 202-225-2631
[X] Member of the U.S. House of Representatives State: CA District: 17 File an original and 2 copies	[ ] Officer or Employee Employing Office: _____ File an original and 1 copy
Did you purchase any shares that were allocated as a part of an Initial Public Offering? [ ] Yes [X] No If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. [X] Initial Report [ ] Amendment Date of Report Being Amended: _____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

SP DC JT	FULL ASSET NAME Provide full name, not ticker symbol.	TYPE OF TRANSACTION Purchase	Sale	Exchange	DATE OF TRANSACTION (MM/DD/YY)	DATE NOTIFIED OF TRANSACTION (MM/DD/YY)	AMOUNT OF TRANSACTION A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,00	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
JT	*Example:* Mega Corp. Common Stock		X		02/05/015	03/07/15		X									
	Please see the attached	[ ]	[ ]	[ ]			[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]
		[ ]	[ ]	[ ]			[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]
		[ ]	[ ]	[ ]			[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]
		[ ]	[ ]	[ ]			[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]	[ ]

	Full Asset Name	Type of Transaction					Date of Transaction	Date Notified of Transaction	Amount of Transaction										
									A	B	C	D	E	F	G	H	I	J	K
SP/DC/JT	Provide full name, not ticker symbol.	Purchase	Sale	Exchange	Capital Gains Exceed $200	Partial Transaction	MM/DD/YY	MM/DD/YY	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	Transaction in a Spouse or Dependent Child Asset over $1,000,000
	M&R Trust Partnership																		
DC	UNITEDHEALTH GP INC	X					04/05/23	05/01/23	X										
DC	CADENCE DESIGN SYSTEM		X		X	X	04/12/23	05/01/23	X										
DC	GREEN BAY WIS HSG AUTH HSG RE OID MUNICIPAL BOND	X					04/26/23	05/01/23				X							
DC	MICROSOFT CORP		X		X	X	04/28/23	05/01/23	X										

Owner	Asset	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction	Date Notified of Transaction	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
Monte and Usha Ahuja 2010 Irrev Trust FBO Grandchildren																			
DC	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	x					04/17/23	05/03/23			x								
DC	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	x					04/19/23	05/03/23			x								
DC	CAPPED BUFFERED ENHANCED PARTICIPATION LINKED TO S&P 500 INDEX	x					04/24/23	05/03/23			x								
DC	BNP PARIBAS LINKED TO S&P 500 INDEX	x					04/28/23	05/03/23			x								
DC	TORONTO DOMINION BANK LINKED TO BASKET OF INDICES	x					04/21/23	05/03/23		x									
DC	ROYAL BANK OF CANADA LINKED TO BASKET OF INDICES	x					04/27/23	05/03/23		x									
DC	APTIV PLC CMN	x					04/06/23	05/03/23	x										
DC	FERGUSON PLC CMN	x					04/26/23	05/03/23	x										
DC	FERGUSON PLC CMN	x					04/17/23	05/03/23	x										
DC	FERGUSON PLC CMN	x					04/14/23	05/03/23	x										
DC	PUT/SPX @ 3975 EXP 06/21/2024	x					04/19/23	05/03/23				x							
DC	CALL/SPX @ 4700 EXP 06/21/2024		x				04/19/23	05/03/23			x								
DC	PUT/SPX @ 3275 EXP 06/21/2024		x				04/19/23	05/03/23			x								
DC	ISHARES PREFERRED & INCOME SECURITIES ETF		x				04/18/23	05/03/23				x							
DC	PROCTER & GAMBLE COMPANY (THE) CMN		x			x	04/06/23	05/03/23	x										
DC	HCA HEALTHCARE, INC CMN		x		x	x	04/12/23	05/03/23	x										
DC	STRYKER CORPORATION CMN		x		x	x	04/12/23	05/03/23	x										
DC	AMERICAN INTL GROUP, INC. CMN		x			x	04/12/23	05/03/23	x										
DC	HUMANA INC. CMN		x		x	x	04/12/23	05/03/23	x										
DC	WEYERHAEUSER COMPANY CMN		x			x	04/28/23	05/03/23	x										
Ahuja Grandchildren's Education Trust																			
DC	AXALTA COATING SYSTEMS LTD. CMN		x			x	04/28/23	05/03/23	x										
DC	AXALTA COATING SYSTEMS LTD. CMN		x			x	04/03/23	05/03/23	x										
Ahuja Khanna Children Irrevocable Trust																			

Owner	Asset	Type of Transaction					Date of Transaction	Date Notified of Transaction	Amount of Transaction										
SP/DC/JT	Provide full name, not filer profile	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	MM/DD/YY	MM/DD/YY	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset only $1,000,000
DC	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	x					04/24/23	05/03/23		x									
DC	CAPPED BUFFERED ENHANCED PARTICIPATION LINKED TO S&P 500 INDEX	x					04/26/23	05/03/23		x									
DC	APPLE INC. CMN	x					04/25/23	05/03/23		x									
DC	BRISTOL-MYERS SQUIBB COMPANY CMN	x					04/25/23	05/03/23	x										
DC	CVS HEALTH CORP CMN	x					04/25/23	05/03/23	x										
DC	GENERAL MOTORS COMPANY CMN	x					04/25/23	05/03/23	x										
DC	CENTERPOINT ENERGY RES 1.75% 10/01/2030 USD	x					04/20/23	05/03/23	x										
DC	U.S. BANCORP MTN 3.0% 07/30/2029 USD	x					04/20/23	05/03/23	x										
DC	TORONTO-DOMINION BANK 1.25% 12/13/2024 USD	x					04/20/23	05/03/23	x										
DC	BANK OF MONTREAL 5.2% 12/12/2024	x					04/20/23	05/03/23	x										
DC	THE BANK OF NOVA SCOTIA 4.5% 12/16/2025 USD	x					04/20/23	05/03/23	x										
DC	MORGAN STANLEY HYBRID 12/10/2026 USD	x					04/20/23	05/03/23	x										
DC	SIMON PROPERTY GROUP, L.P. 3.5% 09/01/2025 USD	x					04/20/23	05/03/23	x										
DC	PNC FINANCIAL SERVICES 2.2% 11/01/2024 USD	x					04/20/23	05/03/23	x										
DC	HYBRID 12/10/2025 USD	x					04/20/23	05/03/23	x										
DC	CITIGROUP INC. HYBRID 05/15/2024 USD	x					04/20/23	05/03/23	x										
DC	ALEXANDRIA REAL ESTATE EQUITIE 3.45% 04/30/2025 USD	x					04/20/23	05/03/23	x										
DC	NXP BV/NXP FDG/NXP USA 4.3% 06/18/2029 USD	x					04/20/23	05/03/23	x										
DC	ALTRIA GROUP, INC. 2.625% 09/16/2026 USD	x					04/20/23	05/03/23	x										
DC	GENERAL MOTORS FINL CO 4.3% 04/06/2029 USD	x					04/20/23	05/03/23	x										
DC	T-MOBILE USA, INC. 3.375% 04/15/2029 USD	x					04/20/23	05/03/23	x										
DC	AIR LEASE CORP 1.875% 08/15/2026 USD	x					04/20/23	05/03/23	x										
DC	REYNOLDS AMERICAN INC 4.45% 06/12/2025 USD	x					04/20/23	05/03/23	x										
DC	FOX CORPORATION 4.709% 01/25/2029 USD	x					04/20/23	05/03/23	x										
DC	THE WILLIAMS COMPANIES, INC. 4.0% 09/15/2025 USD	x					04/20/23	05/03/23	x										

Owner	Asset	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction (MM/DD/YY)	Date Notified of Transaction (MM/DD/YY)	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
DC	BAXTER INTERNATIONAL INC 1.922% 11/29/2024 USD	x					04/20/23	05/03/23	x										
DC	BANK OF AMERICA CORP CMN	x					04/25/23	05/03/23	x										
DC	BANK OF AMERICA CORPORATION HYBRID MTN 10/24/2026 USD	x					04/20/23	05/03/23	x										
DC	GENERAC HOLDINGS INC. CMN	x					04/25/23	05/03/23	x										
DC	DELL INT LLC / EMC CORP 4.9% 10/01/2026 USD	x					04/20/23	05/03/23	x										
DC	EMERA US FINANCE LP 3.55% 06/15/2026 USD	x					04/20/23	05/03/23	x										
DC	AUTONATION INC 4.75% 06/01/2030 USD	x					04/20/23	05/03/23	x										
DC	FORD MOTOR COMPANY CMN	x					04/25/23	05/03/23	x										
DC	DTE ENERGY COMPANY 2.85% 10/01/2026 USD	x					04/20/23	05/03/23	x										
DC	INTEL CORPORATION CMN	x					04/25/23	05/03/23	x										
DC	GLOBAL PAYMENTS INC. 3.2% 08/15/2029 USD	x					04/20/23	05/03/23	x										
DC	KITSAP CNTY WASH SCH DIST NO GO 5% 12/01/23 JD	x					04/03/23	05/03/23	x										
DC	COLUMBUS OHIO GO 5% 04/01/24 AO	x					04/05/23	05/03/23	x										
DC	ENERGY TRANSFER OPERATING LP 5.25% 04/15/2029 USD	x					04/20/23	05/03/23	x										
DC	BROADCOM INC. 5.0% 04/15/2030 USD	x					04/20/23	05/03/23	x										
DC	ORACLE CORPORATION 4.65% 05/06/2030	x					04/20/23	05/03/23	x										
DC	ARCH CAPITAL FINANCE LLC 4.011% 12/15/2026 USD	x					04/20/23	05/03/23	x										
DC	BOSTON PROPERTIES, INC. COMMON STOCK	x					04/25/23	05/03/23	x										
DC	PHILIP MORRIS INTL INC CMN	x					04/25/23	05/03/23	x										
DC	AMERICAN TOWER CORPORATION CMN	x					04/25/23	05/03/23	x										
DC	ORGANON & CO CMN	x					04/25/23	05/03/23	x										
DC	TRIMBLE INC CMN	x					04/25/23	05/03/23	x										
DC	3M COMPANY CMN	x					04/25/23	05/03/23	x										
DC	STANLEY BLACK & DECKER, INC. CMN	x					04/25/23	05/03/23	x										
DC	MPLX LP 4.875% 12/01/2024 USD	x					04/20/23	05/03/23	x										

Full Asset Name		Type of Transaction					Date of Transaction	Date Notified of Transaction	Amount of Transaction										
SP/DC/JT	Provide full name, ticker symbol,	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	mm/dd/YY	mm/dd/YY	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
DC	NORTHERN TRUST CORPORATION 3.95% 10/30/2025 USD	x					04/20/23	05/03/23	x										
DC	BANK OF AMERICA CORPORATION HYBRID MTN 07/23/2029 USD	x					04/20/23	05/03/23	x										
DC	TRANSCANADA PIPELINES 1.0% 10/12/2024 USD	x					04/20/23	05/03/23	x										
DC	HUMANA INC. 3.125% 08/15/2029 USD	x					04/20/23	05/03/23	x										
DC	MODERNA, INC. CMN	x					04/25/23	05/03/23	x										
DC	REALTY INCOME CORP 4.625% 11/01/2025 USD	x					04/20/23	05/03/23	x										
DC	FISERV, INC. 3.85% 06/01/2025 USD	x					04/20/23	05/03/23	x										
DC	BECTON, DICKINSON AND COMPANY 3.734% 12/15/2024 USD	x					04/20/23	05/03/23	x										
DC	EMERA US FINANCE LP 3.55% 06/15/2026 USD	x					04/20/23	05/03/23	x										
DC	ALIGN TECHNOLOGY, INC CMN	x					04/25/23	05/03/23	x										
DC	ALBEMARLE CORP CMN	x					04/25/23	05/03/23	x										
DC	EDWARDS LIFESCIENCES CORPORATI CMN	x					04/25/23	05/03/23	x										
DC	CATALENT, INC. CMN	x					04/25/23	05/03/23	x										
DC	NORWEGIAN CRUISE LINE HLDG LTD CMN	x					04/25/23	05/03/23	x										
DC	WARNER BROS DISCOVERY INC CMN	x					04/25/23	05/03/23	x										
DC	MPLX LP 2.65% 08/15/2030 USD	x					04/20/23	05/03/23	x										
DC	CARNIVAL CORPORATION CMN	x					04/25/23	05/03/23	x										
DC	MATCH GROUP, INC. CMN	x					04/25/23	05/03/23	x										
DC	CARMAX, INC. CMN	x					04/25/23	05/03/23	x										
DC	VF CORP CMN	x					04/25/23	05/03/23	x										
DC	CAESARS ENTERTAINMENT INC CMN	x					04/25/23	05/03/23	x										
DC	ABBOTT LABORATORIES CMN	x					04/25/23	05/03/23	x										
DC	ZIONS BANCORP CMN	x					04/25/23	05/03/23	x										
DC	DOLLAR TREE STORES, INC. CMN	x					04/25/23	05/03/23	x										
DC	ROYAL CARIBBEAN GROUP ISIN: LR0008862868	x					04/25/23	05/03/23	x										

Filer's Initials	Asset (all caps, set filter market)	Type of Transaction: Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
DC	APTIV PLC CMN	x					04/25/23	05/03/23	x										
DC	CHARLES SCHWAB CORPORATION CMN	x					04/25/23	05/03/23	x										
DC	DIGITAL REALTY TRUST, INC. CMN	x					04/25/23	05/03/23	x										
DC	ZEBRA TECHNOLOGIES INC CMN CLASS A	x					04/25/23	05/03/23	x										
DC	EXPEDIA GROUP INC CMN	x					04/25/23	05/03/23	x										
DC	CONSTELLATION BRANDS INC CMN CLASS A	x					04/25/23	05/03/23	x										
DC	DOLLAR GENERAL CORPORATION CMN	x					04/25/23	05/03/23	x										
DC	PFIZER INC. CMN		x		x		04/25/23	05/03/23		x									
DC	TESLA, INC. CMN		x			x	04/25/23	05/03/23		x									
DC	CROWN CASTLE INTL CORP CMN		x				04/25/23	05/03/23	x										
DC	DEERE & COMPANY CMN		x			x	04/25/23	05/03/23	x										
DC	JOHNSON & JOHNSON CMN		x			x	04/25/23	05/03/23	x										
DC	AUTOMATIC DATA PROCESSING INC CMN		x			x	04/25/23	05/03/23	x										
DC	TRUIST FINANCIAL CORPORATION CMN		x			x	04/25/23	05/03/23	x										
DC	ADVANCED MICRO DEVICES, INC. CMN		x			x	04/25/23	05/03/23	x										
DC	TYSON FOODS INC CL-A CMN CLASS A		x			x	04/25/23	05/03/23	x										
DC	TAPESTRY, INC. CMN		x		x	x	04/25/23	05/03/23	x										
DC	KROGER COMPANY CMN		x				04/25/23	05/03/23	x										
DC	ENPHASE ENERGY, INC. CMN		x		x		04/25/23	05/03/23	x										
DC	CHARTER COMMUNICATIONS, INC. CMN		x				04/25/23	05/03/23	x										
DC	VERIZON COMMUNICATIONS, INC. CMN		x				04/25/23	05/03/23	x										
DC	BAXTER INTERNATIONAL INC CMN		x			x	04/25/23	05/03/23	x										
DC	WALT DISNEY COMPANY (THE) CMN		x			x	04/25/23	05/03/23	x										
DC	EAST WEST BANCORP INC CMN		x				04/25/23	05/03/23	x										
DC	WALGREENS BOOTS ALLIANCE, INC. CMN		x			x	04/25/23	05/03/23	x										

Owner	Asset	Purchase	Sale	Exchange	Gift	Partial Sale	Date of Transaction (mm/dd/yy)	Date Notified of Transaction (mm/dd/yy)	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
DC	CITIZENS FINANCIAL GROUP, INC. CMN		x				04/25/23	05/03/23	x										
DC	WESTERN DIGITAL CORPORATION CMN		x				04/25/23	05/03/23	x										
DC	ROBERT HALF INTERNATIONAL INC. CMN		x				04/25/23	05/03/23	x										
DC	LUCID GROUP INC CMN		x				04/25/23	05/03/23	x										
Ritu Ahuja Declaration of Trust																			
SP	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	x					04/17/23	05/03/23			x								
SP	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	x					04/19/23	05/03/23			x								
SP	CAPPED BUFFERED ENHANCED PARTICIPATION LINKED TO S&P 500 INDEX	x					04/24/23	05/03/23			x								
SP	BNP PARIBAS LINKED TO S&P 500 INDEX	x					04/28/23	05/03/23			x								
SP	THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX	x					04/17/23	05/03/23			x								
SP	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	x					04/19/23	05/03/23			x								
SP	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	x					04/24/23	05/03/23			x								
SP	CAPPED BUFFERED ENHANCED PARTICIPATION LINKED TO S&P 500 INDEX	x					04/26/23	05/03/23			x								
SP	DISTRICT COLUMBIA INCOME TAX REV 2.1880% 03/01/25 MS	x					04/14/23	05/03/23		x									
SP	FAIRFAX CNTY VA ECONOMIC DEV A REV 2.9680% 10/01/26 AO	x					04/14/23	05/03/23		x									
SP	HOUSTON TEX UTIL SYS REV REV 0.5260% 11/15/24 MN	x					04/14/23	05/03/23		x									
SP	EL PASO CNTY TEX GO 3.2660% 02/15/28 FA	x					04/14/23	05/03/23		x									
SP	PITT CNTY N C LTD OBLIG REV 1.4910% 04/01/27 AO	x					04/14/23	05/03/23		x									
SP	PENNSYLVANIA ST HIGHER EDL REV 3% 06/15/27 JD	x					04/14/23	05/03/23		x									
SP	REGIONAL TRANSN AUTH ILL REV 3% 06/01/28 JD	x					04/14/23	05/03/23		x									
SP	UNIVERSITY OKLA REVS REV 1.1710% 07/01/25 JJ	x					04/14/23	05/03/23		x									
SP	WASHINGTON ST UNIV REVS REV 1.6890% 10/01/26 AO	x					04/14/23	05/03/23		x									
SP	NEW YORK N Y GO 1.2160% 08/01/26 FA	x					04/14/23	05/03/23		x									
SP	PENNSYLVANIA ST GO 1.8500% 08/01/29 FA	x					04/14/23	05/03/23		x									
SP	SAN FRANCISCO CALIF CITY & GO 6% 06/15/23 JD	x					04/14/23	05/03/23		x									

Owner	Asset/Income earned/Liability	Type of Transaction: Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
SP	METROPOLITAN WTR DIST SALT REV 0.7600% 07/01/24 JJ	x					04/14/23	05/03/23		x									
SP	OKLA DEV FIN AUTH LEASE REV 2.4370% 06/01/27-CA JD	x					04/14/23	05/03/23		x									
SP	MINNEAPOLIS MINN SPL SCH DIST GO 1.1500% 12/15/25 JD	x					04/14/23	05/03/23		x									
SP	LOUISIANA ST GAS & FUELS TAX REV 05/01/28 MN	x					04/14/23	05/03/23		x									
SP	OKLA ST CAPITOL IMPT AUTH REV 1.9950% 07/01/29 JJ	x					04/14/23	05/03/23		x									
SP	COAST CMNTY COLLEGE DIST CALIF GO 2.0370% 08/01/29 FA	x					04/14/23	05/03/23	x										
	Ritu Ahuja 1994 Trust																		
SP	THE BANK OF NOVA SCOTIA LINKED TO S&P 500 INDEX	x					04/17/23	05/03/23				x							
SP	CANADIAN IMPERIAL BANK OF COMM LINKED TO S&P 500 INDEX	x					04/19/23	05/03/23				x							
SP	TORONTO DOMINION BANK LINKED TO S&P 500 INDEX	x					04/24/23	05/03/23				x							
SP	CAPPED BUFFERED ENHANCED PARTICIPATION LINKED TO S&P 500 INDEX	x					04/26/23	05/03/23				x							
SP	TORONTO DOMINION BANK LINKED TO BASKET OF INDICES	x					04/21/23	05/03/23		x									
SP	ROYAL BANK OF CANADA LINKED TO BASKET OF INDICES	x					04/27/23	05/03/23		x									
SP	AMAZON.COM INC CMN	x					04/17/23	05/03/23		x									
SP	ABBVIE INC CMN	x					04/17/23	05/03/23		x									
SP	AVANTOR FUNDING, INC. CMN	x					04/03/23	05/03/23		x									
SP	CVS HEALTH CORP CMN	x					04/17/23	05/03/23	x										
SP	MCCORMICK & CO NON VTG SHRS CMN	x					04/17/23	05/03/23	x										
SP	HORMEL FOODS CORPORATION CMN	x					04/17/23	05/03/23	x										
SP	PFIZER INC. CMN	x					04/17/23	05/03/23	x										
SP	AVANTOR FUNDING, INC. CMN	x					04/05/23	05/03/23	x										
SP	NATIONAL GRID PLC SPONSORED ADR CMN	x					04/14/23	05/03/23	x										
SP	ST LOUIS MO ARPT REV REV 5% 07/01/23 JJ	x					04/04/23	05/03/23	x										
SP	MOREHEAD ST UNIV KY GEN RCPTS REV 5% 10/01/23 AO	x					04/04/23	05/03/23	x										
SP	STANLEY BLACK & DECKER, INC. CMN	x					04/10/23	05/03/23	x										

SP/SPOUSE	Full Asset Name	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction by a Spouse or Dependent Child Asset over $1,000,000
SP	DOUGLAS EMMETT, INC. CMN	x					04/17/23	05/03/23	x										
SP	LUMEN TECHNOLOGIES INC CMN	x					04/17/23	05/03/23	x										
SP	TYSON FOODS INC CL-A CMN CLASS A	x					04/10/23	05/03/23	x										
SP	ELANCO ANIMAL HEALTH INCORPORA CMN	x					04/10/23	05/03/23	x										
SP	OLAPLEX HOLDINGS, INC. CMN	x					04/10/23	05/03/23	x										
SP	LINCOLN NATL.CORP.INC. CMN	x					04/17/23	05/03/23	x										
SP	CALL/SNY FLEX EURO PM @ 51 EXP 04/14/2023	x					04/14/23	05/03/23	x										
SP	CALL/NVO FLEX EURO PM @ 155 EXP 04/14/2023	x					04/14/23	05/03/23	x										
SP	CALL/NVS FLEX EURO PM @ 91 EXP 04/14/2023	x					04/14/23	05/03/23	x										
SP	PUT/SPX @ 3875 EXP 06/21/2024	x					04/19/23	05/03/23						x					
SP	CALL/SPX @ 4700 EXP 06/21/2024		x				04/19/23	05/03/23						x					
SP	PUT/SPX @ 3275 EXP 06/21/2024		x				04/19/23	05/03/23					x						
SP	PUT/XSP @ 385 EXP 06/16/2023		x				04/06/23	05/03/23	x										
SP	PUT/XSP @ 378 EXP 07/21/2023		x				04/26/23	05/03/23	x										
SP	PUT/XSP @ 389 EXP 06/30/2023		x				04/19/23	05/03/23	x										
SP	PUT/XSP @ 390 EXP 06/09/2023		x				04/13/23	05/03/23	x										
SP	ALPHABET INC. CMN CLASS C		x			x	04/10/23	05/03/23			x								
SP	GRAPHIC PACKAGING HLDGCO CMN		x		x	x	04/10/23	05/03/23			x								
SP	LIONS GATE ENTERTAINMENT CORP. CMN CLASS B		x			x	04/10/23	05/03/23			x								
SP	ABBVIE INC CMN		x		x	x	04/10/23	05/03/23			x								
SP	MICRON TECHNOLOGY, INC. CMN		x		x	x	04/10/23	05/03/23			x								
SP	AXALTA COATING SYSTEMS LTD. CMN		x		x	x	04/10/23	05/03/23			x								
SP	BERKSHIRE HATHAWAY INC. CLASS B		x		x	x	04/10/23	05/03/23			x								
SP	LOWES COMPANIES INC CMN		x		x		04/10/23	05/03/23			x								
SP	T-MOBILE US, INC. CMN		x		x		04/10/23	05/03/23			x								

SP/DC/JT	Asset Owner Full name, and filer needed.	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
SP	ELEVANCE HEALTH INC CMN		x		x		04/10/23	05/03/23			x								
SP	CORNING INCORPORATED CMN		x			x	04/10/23	05/03/23		x									
SP	SENSATA TECHNOLOGIES HOLDING P CMN		x		x	x	04/10/23	05/03/23		x									
SP	COSTCO WHOLESALE CORPORATION CMN		x		x	x	04/10/23	05/03/23		x									
SP	CARTER'S, INC. CMN		x			x	04/10/23	05/03/23		x									
SP	SS&C TECHNOLOGIES HOLDINGS, IN CMN		x		x	x	04/10/23	05/03/23		x									
SP	GENERAL MOTORS COMPANY CMN		x			x	04/10/23	05/03/23		x									
SP	CIGNA GROUP/THE CMN		x				04/17/23	05/03/23		x									
SP	BROADCOM INC. CMN		x		x	x	04/10/23	05/03/23		x									
SP	CATERPILLAR INC (DELAWARE) CMN		x				04/10/23	05/03/23		x									
SP	DISCOVER FINANCIAL SERVICES CMN		x		x		04/10/23	05/03/23		x									
SP	AXALTA COATING SYSTEMS LTD. CMN		x			x	04/03/23	05/03/23		x									
SP	BANK OF AMERICA CORP CMN		x			x	04/10/23	05/03/23		x									
SP	ELANCO ANIMAL HEALTH INCORPORA CMN		x			x	04/10/23	05/03/23		x									
SP	HP INC. CMN		x		x		04/10/23	05/03/23		x									
SP	TESLA, INC. CMN		x			x	04/17/23	05/03/23		x									
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		x			x	04/10/23	05/03/23		x									
SP	INTL BUSINESS MACHINES CORP CMN		x		x		04/10/23	05/03/23		x									
SP	DUPONT DE NEMOURS INC CMN		x-		x	x	04/03/23	05/03/23		x									
SP	AMAZON.COM INC CMN		x		x	x	04/10/23	05/03/23		x									
SP	WALT DISNEY COMPANY (THE) CMN		x			x	04/10/23	05/03/23		x									
SP	ON SEMICONDUCTOR CORPORATION CMN		x		x		04/10/23	05/03/23		x									
SP	METLIFE, INC. CMN		x				04/10/23	05/03/23		x									
SP	AT&T INC. CMN		x		x	x	04/10/23	05/03/23		x									
SP	MICROSOFT CORPORATION CMN		x		x	x	04/10/23	05/03/23		x									

Owner	Asset Name	Type of Transaction					Date of Transaction	Date Notified of Transaction	Amount of Transaction										
		Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	mm/dd/yy	mm/dd/yy	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
SP	CITIGROUP INC. CMN		x			x	04/10/23	05/03/23		x									
SP	MICRON TECHNOLOGY, INC. CMN		x			x	04/10/23	05/03/23		x									
SP	CARRIER GLOBAL CORPORATION CMN		x		x		04/10/23	05/03/23		x									
SP	INFINERA CORPORATION CMN		x			x	04/10/23	05/03/23		x									
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A		x		x		04/10/23	05/03/23	x										
SP	BROWN FORMAN CORP CL B CMN CLASS B		x				04/17/23	05/03/23	x										
SP	EQUINIX, INC. REIT		x		x		04/10/23	05/03/23	x										
SP	DUPONT DE NEMOURS INC CMN		x			x	04/24/23	05/03/23	x										
SP	DUPONT DE NEMOURS INC CMN		x			x	04/10/23	05/03/23	x										
SP	APOLLO GLOBAL MANAGEMENT INC CMN		x		x		04/10/23	05/03/23	x										
SP	GENERAL MOTORS COMPANY CMN		x			x	04/17/23	05/03/23	x										
SP	BERKSHIRE HATHAWAY INC. CLASS B		x			x	04/10/23	05/03/23	x										
SP	ARCHER-DANIELS-MIDLAND COMPANY CMN		x				04/10/23	05/03/23	x										
SP	SYNCHRONY FINANCIAL CMN		x				04/10/23	05/03/23	x										
SP	TEXAS INSTRUMENTS INC. CMN		x		x	x	04/10/23	05/03/23	x										
SP	VULCAN MATERIALS CO CMN		x				04/10/23	05/03/23	x										
SP	EAST WEST BANCORP INC CMN		x				04/10/23	05/03/23	x										
SP	CAPITAL ONE FINANCIAL CORP CMN		x				04/10/23	05/03/23	x										
SP	KIMCO REALTY CORPORATION CMN		x				04/10/23	05/03/23	x										
SP	REGIONS FINANCIAL CORPORATION CMN		x				04/10/23	05/03/23	x										
SP	AUTOMATIC DATA PROCESSING INC CMN		x			x	04/10/23	05/03/23	x										
SP	ACCENTURE PLC CMN CLASS A		x		x	x	04/10/23	05/03/23	x										
SP	AUTODESK, INC. CMN		x		x		04/10/23	05/03/23	x										
SP	SALESFORCE INC CMN		x		x	x	04/10/23	05/03/23	x										
SP	LPL FINANCIAL HOLDINGS INC. CMN		x		x		04/10/23	05/03/23	x										

Owner	Asset	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction	Date Notified of Transaction	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
SP	FORTINET, INC. CMN		x		x	x	04/10/23	05/03/23	x										
SP	CBRE GROUP, INC. CMN CLASS A		x				04/10/23	05/03/23	x										
SP	REGENERON PHARMACEUTICAL INC CMN		x		x		04/10/23	05/03/23	x										
SP	COLGATE-PALMOLIVE CO CMN		x			x	04/10/23	05/03/23	x										
SP	MARVELL TECHNOLOGY, INC. CMN		x		x		04/10/23	05/03/23	x										
SP	AXALTA COATING SYSTEMS LTD. CMN		x			x	04/13/23	05/03/23	x										
SP	ROLLINS INC. CMN		x		x		04/10/23	05/03/23	x										
SP	META PLATFORMS INC-CLASS A CMN CLASS A		x		x	x	04/10/23	05/03/23	x										
SP	TYLER TECHNOLOGIES, INC. CMN		x		x		04/10/23	05/03/23	x										
SP	AMERICAN TOWER CORPORATION CMN		x		x		04/10/23	05/03/23	x										
SP	WARNER BROS DISCOVERY INC CMN		x		x	x	04/10/23	05/03/23	x										
SP	CHARLES SCHWAB CORPORATION CMN		x		x		04/10/23	05/03/23	x										
SP	WELLTOWER INC. CMN		x			x	04/17/23	05/03/23	x										
SP	BIO-TECHNE CORPORATION CMN		x				04/10/23	05/03/23	x										
SP	LIBERTY BROADBAND CORPORATION CMN CLASS C		x				04/10/23	05/03/23	x										
SP	INTERNATIONAL PAPER CO. CMN		x				04/10/23	05/03/23	x										
SP	NORTHERN TRUST CORP CMN		x				04/10/23	05/03/23	x										
SP	AMCOR PLC CMN		x				04/10/23	05/03/23	x										
SP	LOEWS CORPORATION CMN		x				04/10/23	05/03/23	x										
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		x				04/17/23	05/03/23	x										
SP	INVITATION HOMES INC. CMN		x				04/10/23	05/03/23	x										
SP	FASTENAL COMPANY CMN		x				04/10/23	05/03/23	x										
SP	WEBSTER FINANCIAL CORP CMN		x				04/10/23	05/03/23	x										
SP	EXTRA SPACE STORAGE INC. CMN		x				04/17/23	05/03/23	x										
SP	ILLUMINA, INC CMN		x			x	04/10/23	05/03/23	x										

Owner	Asset Name	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction	Date Notified of Transaction	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in or Spouse or Dependent Child Asset over $1,000,000
SP	ROBERT HALF INTERNATIONAL INC. CMN		x				04/10/23	05/03/23	x										
SP	HEWLETT PACKARD ENTERPRISE CO CMN		x		x	x	04/10/23	05/03/23	x										
SP	INTUIT INC CMN		x		x		04/10/23	05/03/23	x										
SP	THE TRAVELERS COMPANIES, INC CMN		x				04/10/23	05/03/23	x										
SP	MOSAIC COMPANY (THE) CMN		x				04/10/23	05/03/23	x										
SP	BIOGEN INC. CMN		x			x	04/10/23	05/03/23	x										
SP	TAKE TWO INTERACTIVE SOFTWARE INC		x		x	x	04/10/23	05/03/23	x										
SP	ADVANCE AUTO PARTS, INC. CMN		x				04/10/23	05/03/23	x										
SP	CHEWY INC CMN CLASS A		x				04/10/23	05/03/23	x										
SP	ENPHASE ENERGY, INC. CMN		x				04/10/23	05/03/23	x										
SP	WALT DISNEY COMPANY (THE) CMN		x		x		04/10/23	05/03/23	x										
SP	HSBC HOLDINGS PLC SPONSORED ADR CMN		x			x	04/14/23	05/03/23	x										
SP	APPLIED MATERIALS INC CMN		x			x	04/10/23	05/03/23	x										
SP	W.W. GRAINGER INC CMN		x				04/10/23	05/03/23	x										
SP	BNP PARIBAS SPONSORED ADR CMN		x			x	04/14/23	05/03/23	x										
SP	AXALTA COATING SYSTEMS LTD. CMN		x			x	04/28/23	05/03/23	x										
SP	CORNING INCORPORATED CMN		x		x		04/10/23	05/03/23	x										
SP	LAMAR ADVERTISING COMPANY CMN CLASS A		x		x		04/10/23	05/03/23	x										
SP	THE PROGRESSIVE CORPORATION CMN		x		x	x	04/10/23	05/03/23	x										
SP	PFIZER INC. CMN		x		x		04/10/23	05/03/23	x										
SP	CROWN CASTLE INTL CORP CMN		x				04/17/23	05/03/23	x										
SP	ING GROEP N.V. SPONS ADR SPONSORED ADR CMN		x			x	04/14/23	05/03/23	x										
SP	MICROCHIP TECHNOLOGY INCORPORA CMN		x				04/10/23	05/03/23	x										
SP	REXFORD INDL RLTY INC CMN		x				04/17/23	05/03/23	x										
SP	ABBOTT LABORATORIES CMN		x			x	04/10/23	05/03/23	x										

Owner	Asset	Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction (MM/DD/YY)	Date Notified of Transaction (MM/DD/YY)	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Spouse/Dependent Child Asset over $1,000,000
SP	JONES LANG LASALLE INCORPORATE CMN		x				04/10/23	05/03/23	x										
SP	FMC CORPORATION CMN		x				04/10/23	05/03/23	x										
SP	CERIDIAN HCM HOLDING INC. CMN		x				04/10/23	05/03/23	x										
SP	FREEPORT-MCMORAN INC CMN		x			x	04/10/23	05/03/23	x										
SP	MACY'S INC. CMN		x		x		04/10/23	05/03/23	x										
SP	COHERENT CORP CMN		x				04/10/23	05/03/23	x										
SP	DATADOG, INC. CMN CLASS A		x				04/10/23	05/03/23	x										
SP	BECTON, DICKINSON AND COMPANY CMN		x			x	04/17/23	05/03/23	x										
SP	ALEXANDRIA REAL ESTATE EQUITIES, INC.		x				04/10/23	05/03/23	x										
SP	CAESARS ENTERTAINMENT INC CMN		x				04/10/23	05/03/23	x										
Ritu Ahuja 1995 Trust																			
SP	DU PAGE CNTY ILL HIGH SCH DIST GO 4% 01/15/24 JJ	x					04/03/23	05/03/23		x									
SP	WASHINGTON ST DB 5% 07/01/23 JJ	x					04/05/23	05/03/23		x									
SP	NEW JERSEY (STATE OF) GO 4% 06/01/23 JD	x					04/05/23	05/03/23		x									
SP	TORONTO-DOMINION BANK 1.25% 12/13/2024 USD	x					04/20/23	05/03/23		x									
SP	THE BANK OF NOVA SCOTIA 4.5% 12/16/2025 USD	x					04/20/23	05/03/23		x									
SP	HYBRID PERPETUAL USD	x					04/27/23	05/03/23		x									
SP	FOX VY TECHNICAL COLLEGE DIST GO 4% 12/01/23 JD	x					04/04/23	05/03/23		x									
SP	CITIGROUP INC. HYBRID PERPETUAL	x					04/21/23	05/03/23	x										
SP	BANK OF AMERICA CORPORATION HYBRID PERPETUAL USD	x					04/21/23	05/03/23	x										
SP	MORGAN STANLEY PFD USD1.7188(6.88%) SERIES F	x					04/21/23	05/03/23	x										
SP	CITIGROUP INC. HYBRID PERPETUAL	x					04/26/23	05/03/23	x										
SP	CHARLES SCHWAB CORPORATION (TH HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	TRANSCANADA TRUST HYBRID 08/15/2076 USD	x					04/28/23	05/03/23	x										
SP	HYBRID PERPETUAL USD	x					04/25/23	05/03/23	x										

Owner	Asset	Type of Transaction: Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction by a Spouse or Dependent Child Asset over $1,000,000
SP	ENBRIDGE INC. HYBRID 01/15/2083 USD	x					04/25/23	05/03/23	x										
SP	FLORIDA ST DB 5% 07/01/23 JJ	x					04/06/23	05/03/23	x										
SP	WELLS FARGO & COMPANY HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	BANK OF AMERICA CORPORATION HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	VOYA FINANCIAL, INC. HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	DISCOVER FINANCIAL SERVICES HYBRID PERPETUAL USD	x					04/25/23	05/03/23	x										
SP	CITIZENS FINANCIAL GROUP, INC. HYBRID PERPETUAL USD	x					04/21/23	05/03/23	x										
SP	BANK OF NOVA SCOTIA (THE) HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	VODAFONE GROUP PUBLIC LIMITED HYBRID 04/04/2079 USD	x					04/26/23	05/03/23	x										
SP	WELLS FARGO & COMPANY PFD 6.6250 CLASS A SERIES R	x					04/21/23	05/03/23	x										
SP	PNC FINANCIAL SVS GROUP INC HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	TRUIST FINANCIAL CORPORATION HYBRID PERPETUAL USD	x					04/25/23	05/03/23	x										
SP	MORGAN STANLEY PFD USD1.5938(6.38%) SERIES I	x					04/21/23	05/03/23	x										
SP	MARKEL CORPORATION HYBRID PERPETUAL USD	x					04/25/23	05/03/23	x										
SP	CITIGROUP INC. HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	PRUDENTIAL FINANCIAL, INC. HYBRID 09/01/2052 USD	x					04/26/23	05/03/23	x										
SP	PNC FINANCIAL SERVICES GROUP, HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	AMERICAN EXPRESS COMPANY HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	BP CAPITAL MARKETS P.L.C. HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	HUNTINGTON BANCSHARES INCORPOR HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	WELLS FARGO & COMPANY HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	ENERGY TRANSFER OPERATING LP FRN 11/01/2066 USD SER B	x					04/25/23	05/03/23	x										
SP	SEMPRA ENERGY HYBRID PERPETUAL USD	x					04/21/23	05/03/23	x										
SP	CMS ENERGY CORPORATION HYBRID 06/01/2050 USD	x					04/25/23	05/03/23	x										
SP	HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										

Owner	Asset Name	Type of Transaction: Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
SP	TRUIST FINANCIAL CORPORATION HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	REGIONS FINANCIAL CORPORATION HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	SOUTHERN COMPANY (THE) HYBRID 01/15/2051 USD	x					04/21/23	05/03/23	x										
SP	FIFTH THIRD BANCORP HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	CHARLES SCHWAB CORPORATION (TH HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	COMERICA INCORPORATED HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	BANK OF AMERICA CORPORATION HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	DOMINION ENERGY, INC. HYBRID PERPETUAL USD	x					04/26/23	05/03/23	x										
SP	PARTNERRE FINANCE B LLC HYBRID 10/01/2050 USD	x					04/21/23	05/03/23	x										
SP	NEXTERA ENERGY CAPITAL HOLDING HYBRID 05/01/2079 USD	x					04/21/23	05/03/23	x										
SP	METLIFE, INC. HYBRID PERPETUAL USD	x					04/28/23	05/03/23	x										
SP	PNC FINANCIAL SERVICES GROUP, HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	U.S. BANCORP HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	CAPITAL ONE FINANCIAL CORPORAT HYBRID PERPETUAL USD	x					04/25/23	05/03/23	x										
SP	MORGAN STANLEY PFD USD1.6250(6.50%) SERIES P	x					04/21/23	05/03/23	x										
SP	ALLY FINANCIAL INC. HYBRID PERPETUAL USD	x					04/27/23	05/03/23	x										
SP	PNC FINANCIAL SERVICES GROUP, HYBRID PERPETUAL	x					04/26/23	05/03/23	x										
SP	M&T BANK CORPORATION HYBRID PERPETUAL USD	x					04/24/23	05/03/23	x										
SP	AT&T INC. PFD USD1.2500(5.00%) SERIES A	x					04/21/23	05/03/23	x										
SP	FERGUSON PLC CMN	x					04/26/23	05/03/23	x										
SP	FERGUSON PLC CMN	x					04/14/23	05/03/23	x										
SP	SYNCHRONY FINANCIAL PFD USD1.4063(5.63%) SERIES A	x					04/25/23	05/03/23	x										
SP	FERGUSON PLC CMN	x					04/17/23	05/03/23	x										
SP	ISHARES RUSSELL 1000 VALUE		x		x	x	04/18/23	05/03/23						x					
SP	HCA HEALTHCARE, INC CMN		x		x	x	04/12/23	05/03/23	x										

Owner	Asset Name	Type of Transaction: Purchase	Sale	Exchange	Capital Gain over $200	Partial Sale	Date of Transaction MM/DD/YY	Date Notified of Transaction MM/DD/YY	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	C Transaction by Spouse or Dependent Child Asset over $1,000,000
SP	STRYKER CORPORATION CMN		x		x	x	04/12/23	05/03/23	x										
SP	AMERICAN INTL GROUP, INC. CMN		x			x	04/12/23	05/03/23	x										
SP	HUMANA INC. CMN		x		x	x	04/12/23	05/03/23	x										
SP	WEYERHAEUSER COMPANY CMN		x			x	04/28/23	05/03/23	x										

Full Asset Name		Type of Transaction					Date of Transaction	Date Notified of Transaction	Amount of Transaction										
SP/DC/JT	Provide full name, not ticker symbol.	Purchase	Sale	Exchange	Capital Gains Exceed $200	Partial Transaction	MM/DD/YY	MM/DD/YY	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
	MURA Holdings *RMH*																		
SP	Call (SPX) New S&P 500 JUN 21 24 $4600		X				04/27/23	04/28/23		X									
SP	Call (SPX) New S&P 500 JUN 21 24 $4700		X				04/27/23	04/28/23		X									
SP	Put (SPX) New S&P 500 JUN 21 24 $3200		X				04/27/23	04/28/23		X									
SP	Put (SPX) New S&P 500 JUN 21 24 $3900	X					04/27/23	04/28/23			X								
	MURA Holdings ***Grandchildren Trust (Khanna Portion)***																		
DC	Call (SPX) New S&P 500 JUN 21 24 $4600		X				04/27/23	04/28/23		X									
DC	Call (SPX) New S&P 500 JUN 21 24 $4700		X				04/27/23	04/28/23		X									
DC	Put (SPX) New S&P 500 JUN 21 24 $3200		X				04/27/23	04/28/23		X									
DC	Put (SPX) New S&P 500 JUN 21 24 $3900	X					04/27/23	04/28/23			X								
	MURA Holdings ***2020 Trust FBO Khanna Children***																		
DC	Call (SPX) New S&P 500 JUN 21 24 $4600		X				04/27/23	04/28/23	X										
DC	Call (SPX) New S&P 500 JUN 21 24 $4700		X				04/27/23	04/28/23		X									
DC	Put (SPX) New S&P 500 JUN 21 24 $3200		X				04/27/23	04/28/23		X									
DC	Put (SPX) New S&P 500 JUN 21 24 $3900	X					04/27/23	04/28/23		X									
	ARECO ***Grandchildren Trust (Khanna Portion)***																		
DC	Call (SPX) New S&P 500 JUN 21 24 $4600		X				04/27/23	04/28/23	X										
DC	Call (SPX) New S&P 500 JUN 21 24 $4700		X				04/27/23	04/28/23	X										
DC	Put (SPX) New S&P 500 JUN 21 24 $3200		X				04/27/23	04/28/23	X										

Full Asset Name		Type of Transaction					Date of Transaction	Date Notified of Transaction	Amount of Transaction										
SP/DC/JT	Provide full name, not ticker symbol.	Purchase	Sale	Exchange	Capital Gains Exceed $200	Partial Transaction	MM/DD/YY	MM/DD/YY	A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Transaction in a Spouse or Dependent Child Asset over $1,000,000
DC	Put (SPX) New S&P 500 JUN 21 24 $3900	X					04/27/23	04/28/23	X										
	ARECO ***2020 Trust FBO Khanna Children***																		
DC	Call (SPX) New S&P 500 JUN 21 24 $4600		X				04/27/23	04/28/23	X										
DC	Call (SPX) New S&P 500 JUN 21 24 $4700		X				04/27/23	04/28/23	X										
DC	Put (SPX) New S&P 500 JUN 21 24 $3200		X				04/27/23	04/28/23	X										
DC	Put (SPX) New S&P 500 JUN 21 24 $3900	X					04/27/23	04/28/23		X									