



Filing ID #20023651

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer**Status:** Member**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	ADYEN NV UNSP/ADR (ADYEY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/14/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/07/2023	09/07/2023	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JT	Assa Abloy AB Unsponsored ADR (ASAZY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Assa Abloy AB Unsponsored ADR (ASAZY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/22/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Block, Inc. Class A Common Stock, (SQ) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	08/22/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/18/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/18/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	DexCom, Inc. (DXCM) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Eaton Corporation, PLC Ordinary Shares (ETN) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/25/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Eli Lilly and Company (LLY) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/18/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Equifax, Inc. (EFX) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	08/22/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Fox Factory Holding Corp. (FOXF) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/10/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Freeport-McMoRan, Inc. (FCX) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	HAIER SMART HOME U/ADR (HSHCY) [ST]  FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/18/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Mastercard Incorporated (MA) [ST]	P	08/22/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Meta Platforms, Inc. - Class A (META) [ST]	P	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Microsoft Corporation (MSFT) [OP]	P	08/15/2023	09/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$165; Expires 01/19/2024					
JT		Microsoft Corporation (MSFT) [OP]	S	08/15/2023	09/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$170; Expires 06/21/2024					
JT		Microsoft Corporation (MSFT) [OP]	S	08/15/2023	09/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$170; Expires 06/21/2024					
JT		Microsoft Corporation (MSFT) [OP]	P	08/15/2023	09/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$160; Expires 03/15/2024					
JT		Netflix, Inc. (NFLX) [ST]	P	08/07/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Norfolk Southern Corporation (NSC) [ST]	P	08/24/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Norfolk Southern Corporation (NSC) [ST]	P	08/25/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

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JT	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/10/2023	09/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Regeneron Pharmaceuticals, Inc. (REGN) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Shoals Technologies Group, Inc. - Class A (SHLS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/10/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	SPX Technologies, Inc. (SPXC) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/10/2023	09/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Tapestry, Inc. (TPR) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	08/10/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Target Corporation (TGT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/21/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Target Corporation (TGT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/24/2023	09/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Verizon Communications Inc. (VZ) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/24/2023	09/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- o Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)  
LOCATION: US
- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)

LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Josh Gottheimer , 09/16/2023