



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Daniel Goldman
Status: Member
State/District: NY10

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	California St 5.000% Due 12-01-30 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	City of Ocoee FL 5.000% Due 10-01-30 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Clark Cnty Nev Passenger Fac C 5.000% Due 07-01-26 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Colorado St Higher Ed Cap Cons 5.000% Due 11-01-26 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Columbus Ohio 5.000% Due 07-01-26 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Florida St Dept Environmental 5.000% Due 07-01-28 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					

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	Fortive Corporation (FTV) [ST]	S	06/21/2023	07/06/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 12/9/2011 Trust > Aperio Group LLC					
	Horry Cnty S C Sch Dist 5.000% Due 03-01-26 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Hurst Eules Bedford Tex Indpt 5.000% Due 08-15-26 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Ingersoll Rand Inc. (IR) [ST]	S	06/21/2023	07/06/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 12/9/2011 Trust > Aperio Group LLC					
	Iowa Fin Auth Rev 5.000% Due 08-01-28 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Miami-Dade Cnty Fla 5.000% Due 07-01-25 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	Nevada St 5.000% Due 04-01-27 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	New York N Y City Transitional 5.000% Due 08-01-28 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	New York St Dorm Auth St Pers 5.000% Due 03-15-33 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	NORTH CHARLESTON SC LTD	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

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		OBLIG 5.000% Due 10-01-30 [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					<input type="checkbox"/>
		Pell City Ala 5.000% Due 02-01-25 [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		Pennsylvania St Tpk Commn Tpk 5.000% Due 12-01-25 [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		Private Colleges & Univs Auth 5.000% Due 09-01-33 [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		Prosper Tex 5.000% Due 02-15-27 [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 12/9/2011 Trust > Aperio Group LLC	S	06/21/2023	07/06/2023	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
		Texas A & M Univ Rev 5.000% Due 05-15-31 [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		T-Mobile US, Inc. (TMUS) [ST] FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > DSG Investment Holdings LLC > TACS	P	06/09/2023	07/06/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		TRIBOROUGH BRDG & TUNL AUTH REV 5% 11/15/28 MN [GS] FILING STATUS: New SUBHOLDING OF: Daniel Goldman Grandchildren 1986 Trust > MUNI ESG	P	06/20/2023	07/06/2023	\$250,001 - \$500,000	<input type="checkbox"/>
		University Ala Gen Rev 5.000% Due 07-01-28 [GS]	S	06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Date Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					
	WASHINGTON SUBN SAN DIST MD S 5% 06-01-2034 [GS]		06/23/2023	07/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Daniel Goldman 1997 Trust > AWD, LLC > Breckinridge Capital Advisors, Inc.					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Daniel Goldman 12/9/2011 Trust
- o Daniel Goldman 12/9/2011 Trust ⇒ Aperio Group LLC
LOCATION: US
- o Daniel Goldman 1997 Trust
- o Daniel Goldman 1997 Trust ⇒ AWD, LLC
LOCATION: US
- o Daniel Goldman 1997 Trust ⇒ AWD, LLC ⇒ Breckinridge Capital Advisors, Inc.
LOCATION: US
- o Daniel Goldman 1997 Trust ⇒ DSG Investment Holdings LLC
LOCATION: US
- o Daniel Goldman 1997 Trust ⇒ DSG Investment Holdings LLC ⇒ TACS
LOCATION: US
- o Daniel Goldman Grandchildren 1986 Trust
- o Daniel Goldman Grandchildren 1986 Trust ⇒ MUNI ESG
LOCATION: US

COMMENTS

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Daniel Goldman , 07/24/2023