

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

# FILER INFORMATION

Name: Hon. Neal Patrick MD, Facs Dunn

**Status:** Member **State/District:** FL02

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Cadence Bank 5.50% Series A (CADE\$A) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		Capital One Financial Corporation Depositary shares each representing a 1/40th interest in a share of Fixed Rate Non-Cumulative Perpetual Preferred Stock, Series I of the Issuer (COF\$I) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		J P Morgan Chase & Co Depositary Shares, each representing a 1/400th interest in a share of JPMorgan Chase & Co. 4.75% Non-Cumulative Preferred Stock, Series GG (JPM\$J) [ST] FILING STATUS: New SUBHOLDING OF: IRA #2	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		J P Morgan Chase & Co Depositary Shares, each representing a 1/400th interest in a share of JPMorgan Chase & Co. 4.75% Non-Cumulative Preferred Stock, Series GG (JPM\$J) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	J P Morgan Chase & Co Depositary Shares, each representing a 1/400th interest in a share of JPMorgan Chase & Co. 4.75% Non-Cumulative Preferred Stock, Series GG (JPM\$J) [ST] FILING STATUS: New SUBHOLDING OF: IRA #3	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		KeyCorp Depositary Shares each representing a 1/40th ownership interest in a share of Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series F (KEY\$J) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		Regions Financial Corporation Depositary Shares, Each Representing a 1/40th Interest in a Share of 4.45% Non-Cumulative Perpetual Preferred Stock, Series E (RF\$E) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		Truist Financial Corporation Depositary Shares, each representing 1/1,000th interest in a share of Series R Non-Cumulative Perpetual Preferred Stock (TFC\$R) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		U.S. Bancorp Depositary Shares each representing a 1/1,000th interest in a share of Series K Non-Cumulative Perpetual Preferred Stock (USB\$P) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		Wells Fargo & Company Depositary Shares, each representing a 1/1,000th interest in a share of Non-Cumulative Perpetual Class A Preferred Stock, Series DD (WFC\$D) [ST] FILING STATUS: New SUBHOLDING OF: IRA #2	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	

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	SP	Wells Fargo & Company Depositary Shares, each representing a 1/1,000th interest in a share of Non-Cumulative Perpetual Class A Preferred Stock, Series DD (WFC\$D) [ST] FILING STATUS: New SUBHOLDING OF: IRA #3	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	
		Wells Fargo & Company Depositary Shares, each representing a 1/1,000th interest in a share of Non-Cumulative Perpetual Class A Preferred Stock, Series Y (WFC\$Y) [ST] FILING STATUS: New SUBHOLDING OF: IRA #1	P	05/05/2023	05/09/2023	\$1,001 - \$15,000	

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

#### ASSET CLASS DETAILS

o IRA #1

DESCRIPTION: Held at Merrill Lynch

o IRA #2

DESCRIPTION: Held at Merrill Lynch

o IRA #3 (Owner: SP)

DESCRIPTION: Held at Merrill Lynch

# INITIAL PUBLIC OFFERINGS

O Yes No

# CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Neal Patrick MD, Facs Dunn, 05/26/2023