



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer

**Status:** Member

**State/District:** NJ05

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	AbbVie Inc. (ABBV) [ST]		S (partial)	02/21/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	AbbVie Inc. (ABBV) [ST]		S (partial)	02/07/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Adobe Inc. (ADBE) [ST]		P	02/10/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Adobe Inc. (ADBE) [ST]		P	02/02/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Advanced Micro Devices, Inc. (AMD) [ST]		P	02/13/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Advanced Micro Devices, Inc. (AMD) [ST]		S (partial)	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Advanced Micro Devices, Inc. (AMD) [ST]		S (partial)	02/17/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	P	02/13/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Amgen Inc. (AMGN) [ST]	S (partial)	02/22/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	AppFolio, Inc. - Class A (APPF) [ST]	P	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Automatic Data Processing, Inc. (ADP) [ST]	S	02/03/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	AutoZone, Inc. (AZO) [ST]	S (partial)	02/24/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	AutoZone, Inc. (AZO) [ST]	S (partial)	02/10/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	CBIZ, Inc. (CBZ) [ST]	P	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Coca-Cola Company (KO) [ST]	S (partial)	02/14/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Coca-Cola Company (KO) [ST]	S (partial)	02/21/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Coca-Cola Company (KO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/07/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Deere & Company (DE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/10/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Deere & Company (DE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/17/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Eli Lilly and Company (LLY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/14/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Eli Lilly and Company (LLY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/17/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Eli Lilly and Company (LLY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/07/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Eli Lilly and Company (LLY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/03/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Five Below, Inc. (FIVE) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	02/28/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Globus Medical, Inc. Class A (GMED) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	02/08/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	HAIER SMART HOME CO LTD ADR [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	02/13/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		LOCATION: US DESCRIPTION: ADR stock					
JT		ICICI Bank Limited (IBN) [ST]	S (partial)	02/01/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Intuit Inc. (INTU) [ST]	P	02/27/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Lam Research Corporation (LRCX) [ST]	P	02/14/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		McKesson Corporation (MCK) [ST]	S (partial)	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		MercadoLibre, Inc. (MELI) [ST]	P	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Meta Platforms, Inc. - Class A (META) [ST]	P	02/03/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Microsoft Corporation (MSFT) [OP]	S	02/14/2023	03/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$155; Expires 01/19/24					
JT		Microsoft Corporation (MSFT) [OP]	P	02/14/2023	03/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$155; Expires 09/15/23					
JT		Microsoft Corporation (MSFT) [OP]	S	02/13/2023	03/07/2023	\$250,001 - \$500,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$155; Expires 09/15/23					
JT	Microsoft Corporation (MSFT) [OP]	S	02/13/2023	03/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$155; Expires 09/15/23					
JT	Microsoft Corporation (MSFT) [OP]	S	02/13/2023	03/07/2023	\$500,001 - \$1,000,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$160; Expires 09/15/23					
JT	Microsoft Corporation (MSFT) [OP]	P	02/13/2023	03/07/2023	\$1,000,001 - \$5,000,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$155; Expires 07/21/23					
JT	Microsoft Corporation (MSFT) [OP]	S	02/13/2023	03/07/2023	\$500,001 - \$1,000,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$165; Expires 08/18/23					
JT	Microsoft Corporation (MSFT) [OP]	P	02/13/2023	03/07/2023	\$500,001 - \$1,000,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike price \$165; Expires 06/16/23					
JT	Microsoft Corporation (MSFT) [ST]	P	02/27/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	MongoDB, Inc. - Class A (MDB) [ST]	P	02/13/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>	
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Netflix, Inc. (NFLX) [ST]	P	02/06/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>	
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Netflix, Inc. (NFLX) [ST]		P	02/21/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	NVIDIA Corporation (NVDA) [ST]		P	02/21/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	NVIDIA Corporation (NVDA) [ST]		P	02/27/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	NVIDIA Corporation (NVDA) [ST]		P	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	PayPal Holdings, Inc. (PYPL) [ST]		P	02/03/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Performance Food Group Company (PFGC) [ST]		S (partial)	02/08/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Performance Food Group Company (PFGC) [ST]		S	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	The Trade Desk, Inc. - Class A (TTD) [ST]		P	02/21/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Uber Technologies, Inc. (UBER) [ST]		P	02/21/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Uber Technologies, Inc. (UBER) [ST]		P	02/23/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Uber Technologies, Inc. (UBER) [ST]	P	02/14/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Uber Technologies, Inc. (UBER) [ST]	P	02/13/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Uber Technologies, Inc. (UBER) [ST]	P	02/07/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		UnitedHealth Group Incorporated Common Stock (UNH) [ST]	S	02/03/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Verisk Analytics, Inc. (VRSK) [ST]	S	02/06/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Workday, Inc. - Class A (WDAY) [ST]	P	02/10/2023	03/07/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)  
LOCATION: US
- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Josh Gottheimer , 03/17/2023