



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Christopher L. Jacobs

**Status:** Member

**State/District:** NY27

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Antero Resources Corporation (AR) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	Associated Banc-Corp (ASBC) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	Athira Pharma, Inc. (ATHA) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	Cassava Sciences, Inc. (SAVA) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	Centennial Resource Development, Inc. - Class A (CDEV) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	Central Puget Wa 5.000% 11/01/31 [GS] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK	S	07/11/2022	08/10/2022	\$15,001 - \$50,000	<input type="checkbox"/>
	Chevron Corporation (CVX) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Civitas Resources, Inc. (CIVI) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Crescent Energy Company Class A (CRGY) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Dallas Ft Worth Tx 5.000% 11/01/39 [GS]	P	07/13/2022	08/10/2022	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	Earthstone Energy, Inc. Class A (ESTE) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Enochian Biosciences, Inc. (ENOB) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Exxon Mobil Corporation (XOM) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	First Horizon Corporation (FHN) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Genco Shipping & Trading Limited (GNKOQ) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Gevo, Inc. (GEVO) [ST]	S (partial)	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					

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	Home Depot, Inc. (HD) [ST]	S (partial)	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Innovative Industrial Properties, Inc. (IIPR) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Inotiv, Inc. (NOTV) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	IVERIC bio, Inc. (ISEE) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Kite Realty Group Trust (KRG) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Kodiak Sciences Inc (KOD) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Massachusetts ST 5.000% 10/01/38 [GS]	P	07/15/2022	08/10/2022	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	Minnesota ST 5.000% 8/01/31 [GS]	S	07/05/2022	08/10/2022	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	New York Ny 5.000% 7/15/33 [GS]	S	07/14/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	New York NY City 5.000% 6/15/30 [GS]	P	07/22/2022	08/10/2022	\$15,001 - \$50,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	New York ST Dorm 5.000% 2/15/38 [GS]	P	07/01/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	North Carolina ST 5.000% 5/01/28 [GS]	S	07/06/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	Pfizer, Inc. (PFE) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Physicians Realty Trust Common Shares of Beneficial Interest (DOC) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Plug Power, Inc. (PLUG) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	San Antonio Tx 0.00001% 8/15/38 [GS]	P	07/13/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	Scorpio Tankers Inc. Common Shares (STNG) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Silergate Capital Corporation Class A (SI) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	SM Energy Company (SM) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>

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	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Tellurian Inc. (TELL) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Tesla, Inc. (TSLA) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Triborough Brdg Ny 5.000% 5/15/37 [GS]	P	07/08/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	United Bankshares, Inc. (UBSI) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Utah ST 5.000% 7/01/30 [GS]	S	07/05/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					
	Vertex Energy, Inc (VTNR) [ST]	P	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Viatis Inc. - Common Stock When Issued (VTRSV) [ST]	S	07/18/2022	08/10/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > Parametric					
	Virginia College 5.000% 2/01/29 [GS]	S	07/14/2022	08/10/2022	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM INV LLC > GWK					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- CL TRUST
- CL TRUST ⇒ LDJ FAM INV LLC
- CL TRUST ⇒ LDJ FAM INV LLC ⇒ GWK
- CL TRUST ⇒ LDJ FAM INV LLC ⇒ Parametric

## **INITIAL PUBLIC OFFERINGS**

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Christopher L. Jacobs , 09/09/2022