



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

| ID | Owner | Asset                                                                                                                                                                                                             | Transaction Type | Date       | Notification Date | Amount              | Cap. Gains > \$200?      |
|----|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|-------------------|---------------------|--------------------------|
| SP |       | Equinix Inc. Note 5.375%; Due 5/15/2027 [CS]                                                                                                                                                                      | S                | 06/02/2021 | 06/21/2022        | \$15,001 - \$50,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA<br>DESCRIPTION: Corporate Bond                                                                                                            |                  |            |                   |                     |                          |
| SP |       | General Motors Company (GM) [ST]                                                                                                                                                                                  | S                | 08/23/2021 | 06/21/2022        | \$15,001 - \$50,000 | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Traditional IRA                                                                                                                                           |                  |            |                   |                     |                          |
| SP |       | International Flavors & Fragrances, Inc. (IFF) [ST]                                                                                                                                                               | E                | 02/04/2021 | 06/21/2022        | \$1,001 - \$15,000  | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA<br>DESCRIPTION: Received shares of International Flavors & Fragrances, Inc. (IFF) in exchange for DuPont (DD) as result of spinoff.       |                  |            |                   |                     |                          |
| JT |       | International Flavors & Fragrances, Inc. (IFF) [ST]                                                                                                                                                               | E                | 02/04/2021 | 06/21/2022        | \$1,001 - \$15,000  | <input type="checkbox"/> |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust<br>DESCRIPTION: Received shares of International Flavors & Fragrances (IFF) in exchange for DuPont (DD) as result of spinoff. |                  |            |                   |                     |                          |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

- Neuberger Berman - Malumed Lowenthal Rev Trust (Owner: JT)
- Neuberger Berman - Traditional IRA (Owner: SP)

## **INITIAL PUBLIC OFFERINGS**

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 06/24/2022