



Filing ID #20020563

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		CIT Group [CS]	S	02/24/2022	03/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Redeemed, interest rate is 5.0%, maturity date is 08/15/22.							
SP		Hyatt Hotels [CS]	P	03/03/2022	03/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Interest is 1.3%, maturity date is 10/01/23.							
SP		Netflix [CS]	S	02/15/2022	03/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA DESCRIPTION: Redeemed, interest rate is 5.50%, maturity date is 02/15/22.							
SP		ProShares Short QQQ (PSQ) [ET]	S	02/24/2022	03/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 03/04/2022