



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Thomas Suozzi
Status: Member
State/District: NY03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Altria Group, Inc. (MO) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	10/01/2018	10/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	11/04/2018	11/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	12/19/2018	12/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	BlackRock, Inc. (BLK) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	09/17/2019	09/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	BlackRock, Inc. (BLK) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	10/17/2019	10/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Boeing Company (BA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	03/05/2019	03/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Boeing Company (BA) [ST]	P	04/17/2018	04/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	P	12/06/2017	12/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	P	10/01/2018	10/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	P	01/29/2019	01/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cisco Systems, Inc. (CSCO) [ST]	P	10/01/2018	10/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Citigroup, Inc. (C) [ST]	P	10/01/2018	10/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	04/30/2018	04/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	06/27/2018	06/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	10/02/2018	10/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	10/11/2018	10/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	10/15/2018	10/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	01/08/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	10/01/2018	10/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	07/29/2019	07/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	07/30/2019	07/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	11/15/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DowDuPont Inc. (DWDP) [ST]	P	03/05/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	General Electric Company (GE) [ST]	P	06/01/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	General Electric Company (GE) [ST]	S	05/08/2018	05/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	P	10/01/2018	10/31/2018	\$15,001 -	<input type="checkbox"/>

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					\$50,000	
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Business Machines Corporation (IBM) [ST]	S	06/27/2018	06/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Superior Industries International, Inc. Common Stock (SUP) [ST]	P	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Verizon Communications Inc. (VZ) [ST]	P	06/27/2018	06/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Verizon Communications Inc. (VZ) [ST]	P	10/01/2018	10/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Waste Management, Inc. (WM) [ST]	P	10/01/2018	10/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Merrill Lynch- Advisor Discretion Account
LOCATION: US
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- o Merrill Lynch- Advisor Discretion Account
- o National Securities- Advisor Discretion Account

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Thomas Suozzi , 03/03/2022