



Filing ID #20020195

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas Suozzi  
**Status:** Member  
**State/District:** NY03

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Splunk Inc. (SPLK) [ST]	S	12/28/2021	12/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
		Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	S	12/28/2021	12/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Merrill Lynch- Advisor Discretion Account  
LOCATION: US  
DESCRIPTION: Advisor Discretion Account under control of asset manager.

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Thomas Suozzi , 01/11/2022