



Filing ID #20020154

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer

**Status:** Member

**State/District:** NJ05

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Affirm Holdings, Inc. - Class A (AFRM) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/28/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Air Products and Chemicals, Inc. (APD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	12/06/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	12/08/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	12/14/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Azenta, Inc. (AZTA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/15/2021	01/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Azenta, Inc. (AZTA) [ST] FILING STATUS: New	S (partial)	12/03/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Balchem Corporation (BCPC) [ST]	S (partial)	12/02/2021	01/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Biogen Inc. (BIIB) [ST]	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Capital One Financial Corporation (COF) [ST]	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	ChemoCentryx, Inc. (CCXI) [ST]	P	12/02/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Crown Castle International Corp. (CCI) [ST]	P	12/06/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	P	12/21/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	HealthEquity, Inc. (HQY) [ST]	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Intra-Cellular Therapies Inc. (ITCI) [ST]	P	12/21/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT	Itau Unibanco Banco Holding SA American Depository Shares (ITUB) [ST]	S	12/21/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Match Group, Inc. (MTCH) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/01/2021	01/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Meta Platforms, Inc. - Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/02/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Meta Platforms, Inc. - Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/29/2021	01/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/06/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Minerals Technologies Inc. (MTX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	12/15/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Nitori Holdings Co. Ltd ADR (NCLTY) [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: ADR stock	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Pharmaceutical GRP LTD (CSPCY) [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: ADR Stock	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Phreesia, Inc. (PHR) [ST]	P	12/15/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Ping An Insurance (PNGAY) [ST]	S (partial)	12/28/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Skyline Champion Corporation (SKY) [ST]	S (partial)	12/02/2021	01/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Snap Inc. Class A (SNAP) [ST]	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Tencent Holdings Limited Un-sponsored ADR Representing B Shares (TCEHY) [ST]	S (partial)	12/28/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		Verizon Communications Inc. (VZ) [ST]	S (partial)	12/09/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		XP Inc. - Class A (XP) [ST]	P	12/22/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					
JT		XP Inc. - Class A (XP) [ST]	P	12/21/2021	01/04/2022	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Josh Gottheimer , 01/10/2022