



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Josh Gottheimer**Status:** Member**State/District:** NJ05

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|--|------------------|------------|-------------------|---------------------|--------------------------|
| JT | | Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | P | 11/03/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | | AutoZone, Inc. (AZO) [ST] | P | 11/10/2021 | 12/06/2021 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | | Compass Minerals Intl Inc (CMP) [ST] | S | 11/16/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | | Emergent Biosolutions, Inc. (EBS) [ST] | S | 11/05/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | | Equifax, Inc. (EFX) [ST] | P | 11/19/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | | Haier Smart Home Co Ltd ADR (HSHCY) [OT] | P | 11/19/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|-------|---|------------------|------------|-------------------|----------------------------|-------------------------------------|
| | | LOCATION: US DESCRIPTION: ADR Stock | | | | | |
| JT | | Haier Smart Home Co Ltd ADR (HSHCY) [OT] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: ADR Stock | P | 11/24/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | | Heartland Express, Inc. (HTLD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 11/04/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | | Helios Technologies, Inc. (HLIO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 11/03/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | | Lam Research Corporation (LRCX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 11/08/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | | LHC Group - common stock (LHCG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 11/04/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | | MarketAxess Holdings, Inc. (MKTX) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S | 11/12/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | | Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S | 11/02/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | | Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/2022 | P | 11/15/2021 | 12/06/2021 | \$5,000,001 - \$25,000,000 | <input type="checkbox"/> |

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|--|------------------|------------|-------------------|----------------------------|-------------------------------------|
| JT | Microsoft Corporation (MSFT) [OP] | P | 11/15/2021 | 12/06/2021 | \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 3/18/2022 | | | | | |
| JT | Microsoft Corporation (MSFT) [OP] | P | 11/15/2021 | 12/06/2021 | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$160; Expires 1/21/2022 | | | | | |
| JT | Microsoft Corporation (MSFT) [OP] | S | 11/15/2021 | 12/06/2021 | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$160; Expires 9/16/2022 | | | | | |
| JT | Microsoft Corporation (MSFT) [OP] | S | 11/15/2021 | 12/06/2021 | \$5,000,001 - \$25,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 9/16/2022 | | | | | |
| JT | Microsoft Corporation (MSFT) [OP] | S | 11/15/2021 | 12/06/2021 | \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 9/16/2022 | | | | | |
| JT | Norfolk Southern Corporation (NSC) [ST] | S (partial) | 11/02/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | PayPal Holdings, Inc. (PYPL) [ST] | S (partial) | 11/08/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | PayPal Holdings, Inc. (PYPL) [ST] | S (partial) | 11/12/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | PayPal Holdings, Inc. (PYPL) [ST] | S (partial) | 11/09/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|--|--|------------------|------------|--------------------|-------------------------------------|---------------------|
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | PayPal Holdings, Inc. (PYPL) [ST] | S (partial) | 11/02/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> | |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Rockwell Automation, Inc. (ROK) [ST] | S (partial) | 11/02/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> | |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | SS&C Technologies Holdings, Inc. (SSNC) [ST] | S | 11/05/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> | |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Tapestry, Inc. (TPR) [ST] | S (partial) | 11/02/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> | |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Terex Corporation (TEX) [ST] | P | 11/04/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> | |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Uber Technologies, Inc. (UBER) [ST] | S | 11/30/2021 | 12/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> | |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)
LOCATION: US
- Morgan Stanley - Select UMA Account # 1 (Owner: JT)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Josh Gottheimer , 12/13/2021