



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas Suozzi**Status:** Member**State/District:** NY03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	11/18/2021	11/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	11/18/2021	11/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	11/24/2021	11/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	Morgan Stanley (MS) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	11/24/2021	11/30/2021	\$100,001 - \$250,000	<input type="checkbox"/>
	NextEra Energy, Inc. (NEE) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	11/16/2021	11/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Sylvamo Corporation (SLVM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	11/16/2021	11/30/2021	\$897.00	<input type="checkbox"/>

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	The Carlyle Group Inc. (CG) [ST]	S	11/18/2021	11/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- Merrill Lynch- Advisor Discretion Account  
LOCATION: US  
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- Merrill Lynch- Advisor Discretion Account
- National Securities- Advisor Discretion Account

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Thomas Suozzi , 12/01/2021