



Filing ID #20019847

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas Suozzi**Status:** Member**State/District:** NY03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	CVS Health Corporation (CVS) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	11/10/2021	11/15/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Dow Inc. (DOW) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	11/04/2021	11/15/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Global X FinTech ETF (FINX) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	11/04/2021	11/15/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	PayPal Holdings, Inc. (PYPL) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	11/04/2021	11/15/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	Schlumberger N.V. (SLB) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	11/04/2021	11/15/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	The Bank of New York Mellon Corporation (BK) [ST] FILING STATUS: New	S	11/10/2021	11/15/2021	\$50,001 - \$100,000	<input type="checkbox"/>

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SUBHOLDING OF: National Securities- Advisor Discretion Account							
	TJX Companies, Inc. (TJX)	[ST]	P	11/04/2021	11/15/2021	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New							
SUBHOLDING OF: National Securities- Advisor Discretion Account							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- o National Securities- Advisor Discretion Account

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Thomas Suozzi , 11/18/2021