



Filing ID #20019796

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|--|-------|----------------------|------------------|------------|-------------------|---------------------|--------------------------|
| | SP | VMWare Inc Note [CS] | P | 09/10/2021 | 11/08/2021 | \$15,001 - \$50,000 | <input type="checkbox"/> |
| FILING STATUS: New | | | | | | | |
| SUBHOLDING OF: Neuberger Berman - Traditional IRA | | | | | | | |
| DESCRIPTION: Interest rate is 1.40%, maturity date is 08/15/2026 | | | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 11/10/2021