



Filing ID #20019777

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Blackstone Inc. (BX) [ST]	S (partial)	10/21/2021	11/06/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
	SP	Intercontinental Exchange Inc. (ICE) [ST]	S (partial)	10/21/2021	11/06/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA					
	SP	Thermo Fisher Scientific Inc. [CS]	P	10/19/2021	11/06/2021	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Interest is 1.215%, maturity date is 10/18/24.					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 11/08/2021