



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas Suozzi  
**Status:** Member  
**State/District:** NY03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Affirm Holdings, Inc. - Class A (AFRM) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	10/13/2021	10/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	10/19/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	Cigna Corporation (CI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	10/05/2021	10/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	CVS Health Corporation (CVS) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	10/20/2021	10/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Dollar General Corporation (DG) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	10/20/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	Fidelity Total Bond ETF (FBND) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	10/06/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Ford Motor Company (F) [ST]	S (partial)	10/06/2021	10/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	General Electric Company (GE) [ST]	S	10/06/2021	10/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	iShares National Muni Bond ETF (MUB) [ST]	S	10/06/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST]	P	10/19/2021	10/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Square, Inc. Class A (SQ) [ST]	P	10/19/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Sylvamo Corporation (SLVM) [ST]	S	10/19/2021	10/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Sysco Corporation (SY) [ST]	P	10/20/2021	10/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	S	10/06/2021	10/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	The Carlyle Group Inc. (CG) [ST]	P	10/20/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					

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	TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]	S (partial)	10/06/2021	10/31/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	VanEck Biotech ETF (BBH) [ST]	P	10/20/2021	10/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### ASSET CLASS DETAILS

- Merrill Lynch- Advisor Discretion Account
- National Securities- Advisor Discretion Account

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Thomas Suozzi , 11/05/2021