

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name:	Hon. Katherine M. Clark
Status:	Member
State/District:	MA05

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Alphabet Inc Class A (GOOGL) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI			10/13/2021	\$1,001 - \$15,000	
	SP	Atlantica Sustainable Infrastructure plc - Ordinary Shares (AY) [ST] Filing Status: New Subholding OF: Ameriprise Rollover IR Description: Shares transferred from SI			10/13/2021	\$1,001 - \$15,000	
	SP	Best Buy Co., Inc. (BBY) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI			10/13/2021	\$1,001 - \$15,000	
	SP	Cisco Systems, Inc. (CSCO) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Clean Energy Fuels Corp. (CLNE) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from SI		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	

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	SP	Coca-Cola Europacific Partners plc - Ordinary Shares (CCEP) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from St	A	09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Edwards Lifesciences Corporation (EW) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from Si	A	09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	First Solar, Inc. (FSLR) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI		09/16/2021 RA	10/13/2021	\$15,001 - \$50,000	
	SP	Home Depot, Inc. (HD) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Honeywell International Inc. (HON) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from St		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Intel Corporation (INTC) [ST] Filing Status: New Subholding OF: Ameriprise Rollover IR Description: Shares transferred from SI		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Mastercard Incorporated (MA) [ST] Filing Status: New	S	09/16/2021	10/13/2021	\$1,001 - \$15,000	

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		SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from S		RA			
	SP	Merck & Company, Inc. Common Stock (MRK) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from S		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from S		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Novo Nordisk A/S (NVO) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from S			10/13/2021	\$1,001 - \$15,000	
	SP	Oracle Corporation (ORCL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from S			10/13/2021	\$1,001 - \$15,000	
	SP	Pepsico, Inc. (PEP) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from S		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	S&P Global Inc. (SPGI) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from S			10/13/2021	\$1,001 - \$15,000	
	SP	SAP SE ADS (SAP) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from S		09/16/2021 RA	10/13/2021	\$1,001 - \$15,000	
	SP	Simon Property Group, Inc. (SPG) [ST] Filing Status: New	S	09/16/2021	10/13/2021	\$1,001 - \$15,000	

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		SUBHOLDING OF: Ameriprise Rollover IR					
		DESCRIPTION: Shares transferred from SI	EP & Traditional I	RA			
	SP	Sony Group Corporation American Depositary Shares (SONY) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI	A		10/13/2021	\$1,001 - \$15,000	
	SP	Starbucks Corporation (SBUX) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI			10/13/2021	\$1,001 - \$15,000	
	SP	State Street Corporation (STT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Rollover IR DESCRIPTION: Shares transferred from SI	A		10/13/2021	\$1,001 - \$15,000	
	SP	Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI			10/13/2021	\$1,001 - \$15,000	
	SP	UBS Group AG Registered Ordinary Shares (UBS) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from St			10/13/2021	\$1,001 - \$15,000	
	SP	Visa Inc. (V) [ST] Filing Status: New Subholding Of: Ameriprise Rollover IR Description: Shares transferred from SI			10/13/2021	\$1,001 - \$15,000	

* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

ASSET CLASS DETAILS

• Ameriprise Rollover IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

€ Yes € No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Katherine M. Clark , 10/14/2021