



Filing ID #20019577

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Thomas Suozzi

Status: Member

State/District: NY03

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		CRISPR Therapeutics AG - Common Shares (CRSP) [ST]	S	09/20/2021	09/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
		First Trust NASDAQ Cybersecurity ETF (CIBR) [ST]	S	09/20/2021	09/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
		Invesco Dynamic Leisure and Entertainment ETF (PEJ) [ST]	S	09/17/2021	09/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
		MGM Resorts International (MGM) [ST]	S	09/17/2021	09/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
		TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]	S (partial)	09/20/2021	09/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
		WisdomTree Cloud Computing Fund (WCLD) [ST]	S	09/20/2021	09/30/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
		FILING STATUS: New					

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* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- National Securities- Advisor Discretion Account

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Thomas Suozzi , 10/05/2021