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## FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

# **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	BlackRock, Inc. (BLK) [ST]	S (partial)	09/17/2021	09/24/2021	\$1,001 - \$15,000	$\checkmark$
	FILING STATUS: New Subholding Of: Neuberger Berman - Traditional II						

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

# ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# INITIAL PUBLIC OFFERINGS

C Yes C No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 10/04/2021