

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

Name:Hon. Dean PhillipsStatus:MemberState/District:MN03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Capital One Financial Co Var Rt 12/01/2165 dtd 05/14/2015 [CS]	S	09/01/2021	09/15/2021	\$15,001 - \$50,000	
	FILING STATUS: New DESCRIPTION: Preferred security					

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

## **INITIAL PUBLIC OFFERINGS**

C Yes C No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Dean Phillips , 09/23/2021