



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Josh Gottheimer

Status: Member

State/District: NJ05

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/04/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/04/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Endava plc American Depositary Shares (DAVA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/20/2021	09/13/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Halozyne Therapeutics, Inc. (HALO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/20/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 10/15/21	P	08/16/2021	09/13/2021	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
JT	Microsoft Corporation (MSFT) [OP]	P	08/16/2021	09/13/2021	\$500,001 - \$1,000,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 10/15/21					
JT	Microsoft Corporation (MSFT)	[OP]	P	08/16/2021	09/13/2021	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 11/19/21					
JT	Microsoft Corporation (MSFT)	[OP]	S	08/16/2021	09/13/2021	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/22					
JT	Microsoft Corporation (MSFT)	[OP]	S	08/16/2021	09/13/2021	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/22					
JT	Microsoft Corporation (MSFT)	[OP]	S	08/16/2021	09/13/2021	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/22					
JT	Microsoft Corporation (MSFT)	[OP]	S	08/16/2021	09/13/2021	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$145; Expires 3/18/22					
JT	Microsoft Corporation (MSFT)	[OP]	S	08/16/2021	09/13/2021	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$160; Expires 1/21/22					
JT	Microsoft Corporation (MSFT)	[OP]	P	08/16/2021	09/13/2021	\$500,001 - \$1,000,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$160; Expires 9/17/21					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT	Microsoft Corporation (MSFT) [OP] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Portfolio Management Active Assets Account DESCRIPTION: Call options; Strike Price \$150; Expires 9/17/21	P	08/16/2021	09/13/2021	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
JT	Norfolk Southern Corporation (NSC) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/05/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Pinterest, Inc. Class A (PINS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S	08/27/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Pinterest, Inc. Class A (PINS) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/02/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Syneos Health, Inc. - Class A (SYNH) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/20/2021	09/13/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JT	Tencent Holdings Limited Un-sponsored ADR Representing B Shares (TCEHY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	P	08/09/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT	Wayfair Inc. Class A (W) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1	S (partial)	08/02/2021	09/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)
LOCATION: US
- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Josh Gottheimer , 09/20/2021