



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Thomas Suozzi
Status: Member
State/District: NY03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	12/06/2017	12/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	01/29/2019	01/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S (partial)	10/17/2019	10/30/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S (partial)	11/18/2019	11/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	03/03/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Advanced Micro Devices, Inc. (AMD) [ST] FILING STATUS: New	S	06/03/2020	06/30/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: National Securities- Advisor Discretion Account						
	Advanced Micro Devices, Inc. (AMD) [ST]	P	06/30/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Advanced Micro Devices, Inc. (AMD) [ST]	P	07/28/2021	07/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Advanced Micro Devices, Inc. (AMD) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Allstate Corporation (ALL) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Allstate Corporation (ALL) [ST]	P	03/01/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Allstate Corporation (ALL) [ST]	P	03/04/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Alphabet Inc. - Class A (GOOGL) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	American Express Company (AXP) [ST]	P	11/12/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	American Express Company (AXP) [ST]	S	05/05/2020	05/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	American Express Company (AXP) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	AmerisourceBergen Corporation (ABC) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Amplify ETF Trust Amplify Online Retail ETF (IBUY) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Amplify ETF Trust Amplify Online Retail ETF (IBUY) [ST]	S	08/16/2021	08/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Apple Inc. (AAPL) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Apple Inc. (AAPL) [ST]	S	05/05/2021	05/31/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Apple Inc. (AAPL) [ST]	P	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Apple Inc. (AAPL) [ST]	S	11/24/2020	11/30/2020	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	07/28/2021	07/31/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	11/01/2018	11/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	11/02/2018	11/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	05/13/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S (partial)	10/17/2019	10/17/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	11/18/2019	11/30/2001	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/02/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	03/10/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Apple Inc. (AAPL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	07/08/2019	07/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	ARK Innovation ETF (ARKK) [ST]	P	04/20/2021	04/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	ARK Innovation ETF (ARKK) [ST]	S	05/20/2021	05/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	AstraZeneca PLC - American Depository Shares (AZN) [ST]	P	01/07/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	AstraZeneca PLC - American Depository Shares (AZN) [ST]	S	02/24/2020	02/29/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	AT&T Inc. (T) [ST]	S	02/21/2019	02/28/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	AT&T Inc. (T) [ST]	S	04/17/2018	04/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	AT&T Inc. (T) [ST]	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Baidu, Inc. - American Depository Shares, each representing 8 ordinary share (BIDU) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Beyond Meat, Inc. - Common stock (BYND) [ST]	P	08/20/2019	08/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Beyond Meat, Inc. - Common stock (BYND) [ST]	S	09/24/2019	09/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	BlackRock Municipal Income Investment Quality Trust (BAF) [ST]	P	06/27/2018	06/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	BlackRock Municipal Income Investment Quality Trust (BAF) [ST]	S	07/24/2018	07/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Blackrock NY Municipal [GS]	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Blackrock NY Municipal [GS]	S	07/24/2018	07/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	BlackRock, Inc. (BLK) [ST]	S	01/05/2017	01/30/2017	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Boeing Company (BA) [ST]	P	10/01/2018	10/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	P	05/13/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	S (partial)	07/29/2019	07/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	P	05/13/2019	05/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	S (partial)	07/30/2019	07/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	P	08/21/2019	08/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	P	03/02/2020	03/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	S	06/03/2020	06/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Boeing Company (BA) [ST]	S	01/29/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	BorgWarner Inc. (BWA) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	BorgWarner Inc. (BWA) [ST]	P	04/01/2021	04/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	BorgWarner Inc. (BWA) [ST]	P	08/03/2021	08/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	BorgWarner Inc. (BWA) [ST]	S	08/26/2021	08/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Bristol-Myers Squibb Company (BMY) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Bristol-Myers Squibb Company (BMY) [ST]	S	06/07/2021	06/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Bristol-Myers Squibb Company (BMY) [ST]	S	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Bristol-Myers Squibb Company (BMY) [ST]	P	01/09/2020	01/31/2020	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Bristol-Myers Squibb Company (BMY) [ST]	S	04/08/2019	04/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Bristol-Myers Squibb Company (BMY) [ST]	P	10/01/2018	10/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	C3.ai, Inc. Class A (AI) [ST]	S	06/09/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	C3.ai, Inc. Class A (AI) [ST]	P	03/15/2021	03/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	C3.ai, Inc. Class A (AI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	04/07/2021	04/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	C3.ai, Inc. Class A (AI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	C3.ai, Inc. Class A (AI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	05/05/2021	05/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	C3.ai, Inc. Class A (AI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/04/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	C3.ai, Inc. Class A (AI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/01/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	CarMax Inc (KMX) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Carnival Corporation (CCL) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	05/20/2021	05/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Carnival Corporation (CCL) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	03/04/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Catalent, Inc. (CTLT) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	06/03/2021	06/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Catalent, Inc. (CTLT) [ST]	P	12/18/2020	12/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	S	06/18/2019	06/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	S	04/14/2020	04/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	P	05/08/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	P	03/05/2019	03/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Caterpillar, Inc. (CAT) [ST]	S (partial)	06/18/2019	06/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cerner Corporation (CERN) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Chegg, Inc. (CHGG) [ST]	P	08/03/2020	08/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Chegg, Inc. (CHGG) [ST]	P	06/15/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Chegg, Inc. (CHGG) [ST]	S	09/01/2020	09/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Chevron Corporation (CVX) [ST]	S	10/21/2019	10/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	P	04/17/2018	04/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	S	07/25/2018	07/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	P	05/08/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	P	04/12/2019	04/30/2019	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	S	11/18/2019	11/30/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cigna Corporation (CI) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Cisco Systems, Inc. (CSCO) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cisco Systems, Inc. (CSCO) [ST]	S	02/13/2020	02/29/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Cisco Systems, Inc. (CSCO) [ST]	S	10/17/2019	10/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Citigroup, Inc. (C) [ST]	S	01/25/2019	01/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Citigroup, Inc. (C) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Citigroup, Inc. (C) [ST]	S	01/25/2019	01/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CME Group Inc. - Class A (CME) [ST]	S	01/05/2017	01/30/2017	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	COCA COLA COM [GS]	S	07/25/2018	07/31/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Coca-Cola Company (KO) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Compass Minerals Intl Inc (CMP) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	ConocoPhillips (COP) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	ConocoPhillips (COP) [ST]	P	11/12/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	ConocoPhillips (COP) [ST]	S	04/08/2019	04/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	ConocoPhillips (COP) [ST]	S	11/18/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Consolidated Edison, Inc. (ED) [ST]	S	07/05/2018	07/31/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Consolidated Edison, Inc. (ED) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	P	01/25/2019	01/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	S	05/13/2019	05/31/2019	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Control4 Corporation (CTRL) [ST]	S	05/13/2019	05/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Control4 Corporation (CTRL) [ST]	S	05/23/2019	05/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	04/14/2020	04/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	08/19/2019	08/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	S	03/20/2020	03/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	05/08/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	S	11/12/2019	11/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corning Incorporated (GLW) [ST]	P	05/11/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Corteva, Inc. (CTVA) [ST]	S	08/23/2019	08/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Costco Wholesale Corporation (COST) [ST]	S	06/18/2019	06/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Costco Wholesale Corporation (COST) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CRISPR Therapeutics AG - Common Shares (CRSP) [ST]	P	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	CrowdStrike Holdings, Inc. - Class A (CRWD) [ST]	P	07/26/2019	07/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	CrowdStrike Holdings, Inc. - Class A (CRWD) [ST]	S	12/02/2019	12/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Crown Holdings, Inc. (CCK) [ST]	S	09/24/2019	09/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Crown Holdings, Inc. (CCK) [ST]	P	10/15/2018	10/31/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Crown Holdings, Inc. (CCK) [ST]	S	10/17/2019	10/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CVS Health Corporation (CVS) [ST]	P	03/02/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CVS Health Corporation (CVS) [ST]	P	05/05/2021	05/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CVS Health Corporation (CVS) [ST]	P	04/05/2021	04/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CVS Health Corporation (CVS) [ST]	P	04/14/2021	04/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CVS Health Corporation (CVS) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	CyberArk Software Ltd. - Ordinary Shares (CYBR) [ST]	P	01/07/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	CyberArk Software Ltd. - Ordinary Shares (CYBR) [ST]	S	02/24/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	S	01/15/2021	01/31/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	P	11/15/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	P	04/14/2020	04/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	P	01/15/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	S	07/30/2020	07/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dana Incorporated (DAN) [ST]	P	03/02/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Deere & Company (DE) [ST]	P	05/13/2019	05/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Deere & Company (DE) [ST]	S	06/06/2019	06/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Delta Air Lines, Inc. (DAL) [ST]	P	03/10/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Delta Air Lines, Inc. (DAL) [ST]	S	05/04/2020	05/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Devon Energy Corporation (DVN) [ST]	S	05/05/2021	05/31/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Devon Energy Corporation (DVN) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Devon Energy Corporation (DVN) [ST]	P	08/03/2020	08/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Devon Energy Corporation (DVN) [ST]	P	07/30/2020	07/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DexCom, Inc. (DXCM) [ST]	P	01/10/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DexCom, Inc. (DXCM) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DexCom, Inc. (DXCM) [ST]	S	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Discover Financial Services (DFS) [ST]	S	01/05/2017	01/30/2017	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Dominion Energy, Inc. (D) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dominion Energy, Inc. (D) [ST]	S	06/27/2018	06/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Domino's Pizza Inc (DPZ) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Domino's Pizza Inc (DPZ) [ST]	P	03/01/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Dow Inc. (DOW) [ST]	S	08/21/2019	08/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DowDuPont Inc. (DWDP) [ST]	P	03/05/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DowDuPont Inc. (DWDP) [ST]	S	03/29/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DuPont de Nemours, Inc. (DD) [ST]	S	08/23/2019	08/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DuPont de Nemours, Inc. (DD) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	DuPont de Nemours, Inc. (DD) [ST]	S	04/29/2020	04/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST]	P	01/15/2021	01/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Eaton Corporation, PLC Ordinary Shares (ETN) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	eBay Inc. (EBAY) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	Electrameccanica Vehicles Corp. Ltd. (SOLO) [ST]	S	02/16/2021	02/28/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Electrameccanica Vehicles Corp. Ltd. (SOLO) [ST]	P	01/11/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Eli Lilly and Company (LLY) [ST]	P	03/03/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Eli Lilly and Company (LLY) [ST]	S	05/05/2021	05/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Eli Lilly and Company (LLY) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Eli Lilly and Company (LLY) [ST]	S	11/18/2019	11/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Encana Corporation (ECA) [ST]	P	11/12/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Encana Corporation (ECA) [ST]	S	11/18/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Express Scripts Holding Company (ESRX) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Charles Schwab Retirement Account						
	Exxon Mobil Corporation (XOM) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account						
	Exxon Mobil Corporation (XOM) [ST]	P	07/25/2018	07/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account						
	Exxon Mobil Corporation (XOM) [ST]	S	07/25/2018	07/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account						
	Facebook, Inc. - Class A (FB) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account						
	Federal National Mortgage Association (FNMA) [ST]	P	03/03/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	Federal National Mortgage Association (FNMA) [ST]	S	05/11/2020	05/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	Fidelity Total Bond ETF (FBND) [ST]	P	06/09/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	FireEye, Inc. (FEYE) [ST]	S	02/25/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	FireEye, Inc. (FEYE) [ST]	P	01/07/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	First Trust NASDAQ Cybersecurity ETF (CIBR) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Ford Motor Company (F) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Ford Motor Company (F) [ST]	S	04/14/2020	04/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Ford Motor Company (F) [ST]	P	01/03/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	fuboTV Inc. (FUBO) [ST]	P	11/16/2020	11/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	fuboTV Inc. (FUBO) [ST]	S	11/18/2020	11/30/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	FuelCell Energy, Inc. (FCEL) [ST]	S	12/01/2020	12/31/2020	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	FuelCell Energy, Inc. (FCEL) [ST]	P	11/25/2020	11/30/2020	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	General Electric Company (GE) [ST]	P	01/07/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	General Electric Company (GE) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	02/24/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	General Electric Company (GE) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	02/24/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/02/2020	03/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	06/03/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	04/14/2020	04/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	09/16/2019	09/16/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	10/01/2018	10/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	09/16/2019	09/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Global X FinTech ETF (FINX) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Halliburton Company (HAL) [ST]	P	09/24/2019	09/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Halliburton Company (HAL) [ST]	S	11/18/2019	11/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Henry Schein, Inc. (HSIC) [ST]	S	04/17/2018	04/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Henry Schein, Inc. (HSIC) [ST]	S	12/06/2017	12/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Henry Schein, Inc. (HSIC) [ST]	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	HollyFrontier Corporation (HFC) [ST]	P	05/05/2020	05/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	HollyFrontier Corporation (HFC) [ST]	S	05/05/2020	05/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Inphi Corporation (IPHI) [ST]	S	11/19/2020	11/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Inphi Corporation (IPHI) [ST]	P	04/29/2020	04/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	P	01/24/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	S	06/18/2019	06/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	S	05/23/2019	05/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	S	06/27/2018	06/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intel Corporation (INTC) [ST]	S	06/18/2019	06/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Business Machines Corporation (IBM) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Business Machines Corporation (IBM) [ST]	S	01/24/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	P	04/14/2020	04/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	International Paper Company (IP) [ST]	P	11/15/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	P	04/29/2020	04/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	S	07/10/2020	07/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	P	02/12/2020	02/29/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	S	05/02/2019	05/30/2019	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	P	03/04/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	International Paper Company (IP) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	INTL PAPER CO [GS]	P	10/02/2018	10/30/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Intrexon Corporation (XON) [ST]	S	12/14/2018	12/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Intrexon Corporation (XON) [ST]	P	12/06/2017	12/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Invesco Dynamic Leisure and Entertainment ETF (PEJ) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	IPG Photonics Corporation (IPGP) [ST]	P	04/15/2021	04/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	IPG Photonics Corporation (IPGP) [ST]	S	06/03/2021	06/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	iShares Global Comm Services ETF (IXP) [ST]	S	01/24/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	iShares National Muni Bond ETF (MUB) [ST]	P	06/09/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	iShares TIPS Bond ETF (TIP) [ST]	P	01/09/2020	01/31/2020	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	iShares TIPS Bond ETF (TIP) [ST]	S	03/02/2020	03/31/2020	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	iShares TIPS Bond ETF (TIP) [ST]	P	11/18/2019	11/30/2019	\$100,001 - \$250,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	JMP Group LLC Common Shares (JMP) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	03/10/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Johnson & Johnson (JNJ) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	10/21/2019	10/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Johnson Controls International plc Ordinary Share (JCI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	12/06/2017	12/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	Johnson Controls International plc Ordinary Share (JCI) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	08/25/2017	08/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/20/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	07/10/2020	07/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	01/01/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	05/11/2020	05/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	JPMorgan Chase Capital XVI JP Morgan Alerian MLP ETN (AMJ) [ST]	S	01/01/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	LendingTree, Inc. (TREE) [ST]	S	05/05/2021	05/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	LendingTree, Inc. (TREE) [ST]	S	12/23/2020	12/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	LendingTree, Inc. (TREE) [ST]	P	02/13/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	LendingTree, Inc. (TREE) [ST]	P	03/02/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	LendingTree, Inc. (TREE) [ST]	P	03/03/2020	03/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	LendingTree, Inc. (TREE) [ST]	P	04/14/2020	04/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Li Auto Inc. - American Depositary Shares (LI) [ST]	P	12/08/2020	12/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Li Auto Inc. - American Depositary Shares (LI) [ST]	S	01/07/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Live Nation Entertainment, Inc. (LYV) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	02/10/2021	02/28/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Live Nation Entertainment, Inc. (LYV) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	01/21/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Lowe's Companies, Inc. (LOW) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	08/25/2020	08/31/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Lowe's Companies, Inc. (LOW) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	06/15/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Lowe's Companies, Inc. (LOW) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	Lyft, Inc. - Class A (LYFT) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	08/05/2019	08/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Lyft, Inc. - Class A (LYFT) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	07/08/2019	07/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Mallinckrodt plc Ordinary Shares (MNK) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	08/25/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	Mallinckrodt plc Ordinary Shares (MNK) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Marriott International - Class A (MAR) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	06/15/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Marriott International - Class A (MAR) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	08/21/2020	08/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Martin Marietta Materials, Inc. (MLM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	10/15/2018	10/31/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	Martin Marietta Materials, Inc. (MLM) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	11/07/2018	11/30/2018	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	Marvell Technology, Inc. (MRVL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	12/18/2020	12/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Marvell Technology, Inc. (MRVL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	11/19/2020	11/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Marvell Technology, Inc. (MRVL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	05/05/2021	05/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Marvell Technology, Inc. (MRVL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	06/09/2021	06/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Marvell Technology, Inc. (MRVL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/01/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Marvell Technology, Inc. (MRVL) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Mastercard Incorporated (MA) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account	S	01/05/2017	01/30/2017	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	01/29/2020	01/31/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	01/28/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Medtronic plc. Ordinary Shares (MDT) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	01/14/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Medtronic plc. Ordinary Shares (MDT) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	Merck & Company, Inc. Common Stock (MRK) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	Merck & Company, Inc. Common Stock (MRK) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	11/18/2019	11/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	MetLife, Inc. (MET) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	01/07/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	MetLife, Inc. (MET) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	MetLife, Inc. (MET) [ST]	S	07/25/2018	07/31/2018	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	MetLife, Inc. (MET) [ST]	S	02/25/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	MGM Resorts International (MGM) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Micron Technology, Inc. (MU) [ST]	P	03/29/2019	03/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Micron Technology, Inc. (MU) [ST]	S	04/08/2019	04/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Microsoft Corporation (MSFT) [ST]	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Microsoft Corporation (MSFT) [ST]	P	01/09/2020	01/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Microsoft Corporation (MSFT) [ST]	P	03/20/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Microsoft Corporation (MSFT) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	New York Community Bancorp, Inc. (NYCB) [ST]	S	09/05/2017	09/30/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	New York Community Bancorp, Inc. (NYCB) [ST]	P	08/25/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	New York ST DORM ATRV [GS]	P	08/17/2017	08/31/2017	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	New York ST DORM ATRV [GS]	S	07/24/2018	07/31/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	NextEra Energy, Inc. (NEE) [ST]	P	01/07/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]	P	09/02/2020	09/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]	P	10/05/2020	10/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]	S	05/20/2021	05/31/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Norwegian Cruise Line Holdings	S	02/10/2021	02/28/2021	\$15,001 -	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Ltd. Ordinary Shares (NCLH) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account				\$50,000	
	Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	01/21/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	05/05/2021	05/31/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	03/02/2020	03/31/2020	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	02/13/2020	02/29/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/02/2020	03/02/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	11/15/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/20/2020	03/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	NVIDIA Corporation (NVDA) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	03/03/2020	03/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	NVIDIA Corporation (NVDA) [ST]	P	07/08/2019	07/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	NVIDIA Corporation (NVDA) [ST]	S	12/02/2019	12/31/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	NVIDIA Corporation (NVDA) [ST]	P	01/14/2019	01/30/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	NVIDIA Corporation (NVDA) [ST]	S	01/29/2019	01/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	NVIDIA Corporation (NVDA) [ST]	P	07/29/2019	07/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Oracle Corporation Ordinary Shares (OCLCF) [ST]	S	10/21/2019	10/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Pacific Gas & Electric Co. (PCG) [ST]	S	01/07/2019	01/31/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Pacific Gas & Electric Co. (PCG) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Pacific Gas & Electric Co. (PCG) [ST]	S	12/21/2017	12/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PayPal Holdings, Inc. (PYPL) [ST]	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account					
	PayPal Holdings, Inc. (PYPL) [ST]	S	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PayPal Holdings, Inc. (PYPL) [ST]	S	05/20/2021	05/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	PayPal Holdings, Inc. (PYPL) [ST]	P	03/02/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PayPal Holdings, Inc. (PYPL) [ST]	P	03/11/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Pentair plc. Ordinary Share (PNR) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Pentair plc. Ordinary Share (PNR) [ST]	S	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Pfizer, Inc. (PFE) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Pfizer, Inc. (PFE) [ST]	S	04/08/2019	04/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Pinterest, Inc. Class A (PINS) [ST]	P	11/03/2020	11/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Pinterest, Inc. Class A (PINS) [ST]	S	11/16/2020	11/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	PPG Industries, Inc. (PPG) [ST]	P	03/01/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PPG Industries, Inc. (PPG) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PPL Corporation (PPL) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PPL Corporation (PPL) [ST]	S	04/30/2018	04/30/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Procter & Gamble Company (PG) [ST]	S	07/24/2018	07/31/2018	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Procter & Gamble Company (PG) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	PTC Inc. (PTC) [ST]	S	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	PTC Inc. (PTC) [ST]	P	03/02/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	QUALCOMM Incorporated (QCOM) [ST]	P	03/03/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	QUALCOMM Incorporated (QCOM) [ST]	S	06/02/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	QuantumScape Corporation Class A (QS) [ST]	S	02/24/2021	02/28/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	QuantumScape Corporation Class A (QS) [ST]	P	02/19/2021	02/28/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Real Estate Select Sector SPDR Fund (XLRE) [ST]	P	06/09/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Real Estate Select Sector SPDR Fund (XLRE) [ST]	S	08/16/2021	08/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	P	11/05/2018	11/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	S	11/07/2018	11/30/2018	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	P	05/02/2019	05/30/2019	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Regeneron Pharmaceuticals, Inc. (REGN) [ST]	S	09/16/2019	09/30/2019	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	RH (RH) [ST]	S	04/01/2021	04/30/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	RH (RH) [ST]	P	03/01/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Roblox Corporation Class A (RBLX) [ST]	S	06/30/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Roblox Corporation Class A (RBLX) [ST]	P	06/21/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Southwest Airlines Company (LUV) [ST]	P	03/04/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Southwest Airlines Company (LUV) [ST]	S	05/20/2021	05/31/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	SPDR S&P Retail ETF (XRT) [ST]	S	01/03/2020	01/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Splunk Inc. (SPLK) [ST]	S	06/04/2021	06/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Splunk Inc. (SPLK) [ST]	P	06/02/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	P	03/09/2021	03/31/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	P	04/08/2021	04/30/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	S	05/05/2021	05/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	P	03/01/2021	03/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	P	08/26/2021	08/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Square, Inc. Class A (SQ) [ST]	S	05/20/2021	05/31/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Square, Inc. Class A (SQ) [ST]	P	08/20/2019	08/31/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Square, Inc. Class A (SQ) [ST]	S	12/02/2019	12/31/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Square, Inc. Class A (SQ) [ST]	P	03/10/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Square, Inc. Class A (SQ) [ST]	P	03/03/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Superior Industries International, Inc. Common Stock (SUP) [ST]	S	01/02/2019	01/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Superior Industries International, Inc. Common Stock (SUP) [ST]	S	03/29/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Superior Industries International, Inc. Common Stock (SUP) [ST]	P	10/15/2018	10/30/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Superior Industries International, Inc. Common Stock (SUP) [ST]	S	12/28/2018	12/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Superior Industries International, Inc. Common Stock (SUP) [ST]	P	12/21/2017	12/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	P	06/11/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Target Corporation (TGT) [ST]	S	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Target Corporation (TGT) [ST]	P	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST]	S	01/14/2019	01/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Tesla, Inc. (TSLA) [ST]	S	04/07/2021	04/30/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Tesla, Inc. (TSLA) [ST]	P	03/02/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Texas Instruments Incorporated (TXN) [ST]	S	06/18/2019	06/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Texas Instruments Incorporated (TXN) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Texas Instruments Incorporated (TXN) [ST]	P	03/05/2019	03/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Texas Instruments Incorporated	S	04/08/2019	04/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	(TXN) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	The Bank of New York Mellon Corporation (BK) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	The Cooper Companies, Inc. (COO) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	The Priceline Group Inc. (PCLN) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account	S	01/05/2017	01/30/2017	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Time Warner Inc. New (TWX) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab Retirement Account	S	01/05/2017	01/30/2017	\$50,001 - \$100,000	<input type="checkbox"/>
	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	P	06/15/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	TJX Companies, Inc. (TJX) [ST] FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account	S	07/31/2020	07/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Total SE (TOT) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	S	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Total SE (TOT) [ST] FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST] FILING STATUS: New	P	09/17/2020	09/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: National Securities- Advisor Discretion Account					
	TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]	S	10/26/2020	10/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]	P	06/03/2021	06/30/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	U.S. Global Jets ETF (JETS) [ST]	S	01/15/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	U.S. Global Jets ETF (JETS) [ST]	P	12/11/2020	12/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Uber Technologies, Inc. (UBER) [ST]	S	05/20/2021	05/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Uber Technologies, Inc. (UBER) [ST]	P	01/13/2021	01/31/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	United Rentals, Inc. (URI) [ST]	S	05/05/2021	05/31/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	United Rentals, Inc. (URI) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	United Rentals, Inc. (URI) [ST]	P	03/20/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	United Rentals, Inc. (URI) [ST]	P	05/23/2019	05/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	United Rentals, Inc. (URI) [ST]	P	08/21/2019	08/31/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	United Rentals, Inc. (URI) [ST]	P	12/19/2018	12/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Vanguard NY Long Term [GS]	S	06/28/2018	06/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Vanguard NY Long Term [GS]	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Verizon Communications Inc. (VZ) [ST]	P	08/17/2017	08/31/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Verizon Communications Inc. (VZ) [ST]	S	06/18/2019	06/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Verizon Communications Inc. (VZ) [ST]	S	06/18/2019	06/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Visa Inc. (V) [ST]	P	03/02/2020	03/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Visa Inc. (V) [ST]	P	01/29/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Visa Inc. (V) [ST]	P	01/09/2020	01/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Visa Inc. (V) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Walmart Inc. (WMT) [ST]	S	06/18/2019	06/30/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Walmart Inc. (WMT) [ST]	S (partial)	03/02/2020	03/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Walmart Inc. (WMT) [ST]	S	06/18/2019	06/30/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Walmart Inc. (WMT) [ST]	P	11/04/2018	11/30/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Walt Disney Company (DIS) [ST]	P	11/13/2019	11/30/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Walt Disney Company (DIS) [ST]	S	05/11/2020	05/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Waste Management, Inc. (WM) [ST]	S	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Waste Management, Inc. (WM) [ST]	S	03/20/2020	03/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Waste Management, Inc. (WM) [ST]	P	09/05/2017	09/30/2017	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Westchester CNTY NY GO [GS]	P	08/17/2017	08/31/2017	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Westchester CNTY NY GO [GS]	S	07/24/2018	07/31/2018	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Weyerhaeuser Company (WY) [ST]	P	11/08/2018	11/30/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Weyerhaeuser Company (WY) [ST]	P	02/25/2019	02/28/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Weyerhaeuser Company (WY) [ST]	S	05/08/2019	05/31/2019	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Weyerhaeuser Company (WY) [ST]	P	02/21/2019	02/28/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Weyerhaeuser Company (WY) [ST]	P	03/02/2021	03/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account						
	Weyerhaeuser Company (WY) [ST]	S	08/03/2021	08/31/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Weyerhaeuser Company (WY) [ST]	S	06/04/2021	06/30/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Winnebago Industries, Inc. (WGO) [ST]	S	04/08/2019	04/30/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	Winnebago Industries, Inc. (WGO) [ST]	P	11/18/2018	11/30/2018	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Merrill Lynch- Advisor Discretion Account					
	WisdomTree Cloud Computing Fund (WCLD) [ST]	P	09/17/2020	09/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	WisdomTree Cloud Computing Fund (WCLD) [ST]	S	10/26/2020	10/31/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	WisdomTree Cloud Computing Fund (WCLD) [ST]	P	06/03/2021	06/30/2021	\$50,001 - \$100,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Workhorse Group, Inc. (WKHS) [ST]	S	10/05/2020	10/31/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account					
	Workhorse Group, Inc. (WKHS) [ST]	P	09/24/2020	09/30/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: National Securities- Advisor Discretion Account						
	ZoomInfo Technologies Inc. - Class A (ZI) [ST]	P	06/15/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	ZoomInfo Technologies Inc. - Class A (ZI) [ST]	S	07/31/2020	07/31/2020	\$1,001 - \$15,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	Zscaler, Inc. (ZS) [ST]	S	01/06/2021	01/31/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						
	Zscaler, Inc. (ZS) [ST]	P	12/11/2020	12/31/2020	\$15,001 - \$50,000	<input type="checkbox"/>
FILING STATUS: New SUBHOLDING OF: National Securities- Advisor Discretion Account						

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Charles Schwab Retirement Account
- o Merrill Lynch- Advisor Discretion Account
LOCATION: US
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- o Merrill Lynch- Advisor Discretion Account
- o National Securities- Advisor Discretion Account

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Thomas Suozzi , 09/23/2021