



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Mikie Sherrill
Status: Member
State/District: NJ11

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	UBS Group AG Registered Ordinary Shares (UBS) [ST] <small>FILING STATUS: New SUBHOLDING OF: UBS 401K</small>	S (partial)	04/29/2020	05/07/2020	\$100,001 - \$250,000	<input type="checkbox"/>
	SP	UBS Group AG Registered Ordinary Shares (UBS) [ST] <small>FILING STATUS: New SUBHOLDING OF: UBS 401K</small>	S	06/01/2020	07/10/2020	\$50,001 - \$100,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o UBS 401K (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Mikie Sherrill , 12/03/2021