



Filing ID #20019340

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Katherine M. Clark**Status:** Member**State/District:** MA05

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	ABB Ltd (ABB) [ST]		S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	ABB Ltd (ABB) [ST]		S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Alphabet Inc. - Class A (GOOGL) [ST]		S (partial)	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Atlantica Sustainable Infrastructure plc - Ordinary Shares (AY) [ST]		P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Atlantica Sustainable Infrastructure plc - Ordinary Shares (AY) [ST]		P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Best Buy Co., Inc. (BBY) [ST]		P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Best Buy Co., Inc. (BBY) [ST]	P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	BlackRock, Inc. (BLK) [ST]	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	BlackRock, Inc. (BLK) [ST]	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Cisco Systems, Inc. (CSCO) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Cisco Systems, Inc. (CSCO) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Edwards Lifesciences Corporation (EW) [ST]	S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	First Solar, Inc. (FSLR) [ST]	P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	First Solar, Inc. (FSLR) [ST]	P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	GlaxoSmithKline PLC (GSK) [ST]	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	GlaxoSmithKline PLC (GSK) [ST]	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Home Depot, Inc. (HD) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Home Depot, Inc. (HD) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Honeywell International Inc. (HON) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Honeywell International Inc. (HON) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Iron Mountain Incorporated (IRM) [ST]	S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Iron Mountain Incorporated (IRM) [ST]	S (partial)	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
	SP	Iron Mountain Incorporated (IRM) [ST]	S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Iron Mountain Incorporated (IRM) [ST]	S (partial)	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
	SP	Merck & Company, Inc. Common Stock (MRK) [ST]	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					

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	SP	Merck & Company, Inc. Common Stock (MRK) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Orange (ORAN) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Orange (ORAN) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Simon Property Group, Inc. (SPG) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Simon Property Group, Inc. (SPG) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Sony Group Corporation American Depositary Shares (SONY) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Sony Group Corporation American Depositary Shares (SONY) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	SP	Starbucks Corporation (SBUX)	S (partial)	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		[ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP		UBS Group AG Registered Ordinary Shares (UBS) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP		UBS Group AG Registered Ordinary Shares (UBS) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	07/27/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Xylem Inc. Common Stock New (XYL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Xylem Inc. Common Stock New (XYL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	06/04/2021	08/13/2021	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Ameriprise SEP IRA (Owner: SP)
- Ameriprise Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Katherine M. Clark , 08/15/2021