



Filing ID #20019295

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Dean Phillips**Status:** Member**State/District:** MN03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Alexion Pharmaceuticals, Inc. (ALXN) [ST] FILING STATUS: New	S	07/21/2021	08/11/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	AstraZeneca PLC - American Depositary Shares (AZN) [ST] FILING STATUS: New DESCRIPTION: Received shares of AstraZeneca PLC - American Depositary Shares (AZN) in exchange for Alexion Pharmaceuticals, Inc. (ALXN).	E	07/21/2021	08/11/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Honolulu City & Cnty HI Wstwtr Sys Rev 5% A Jul 01 2025 Dtd [GS] FILING STATUS: New	S	07/01/2021	08/11/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Phoenix AZ Ref Unlimited Tax 4% 07/01/2021 dtd 06/24/2014 [GS] FILING STATUS: New	S	07/01/2021	08/11/2021	\$15,001 - \$50,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Dean Phillips , 08/13/2021