



Filing ID #20019040

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Tom Malinowski**Status:** Member**State/District:** NJ07

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	BellRing Brands, Inc. Class A (BRBR) [ST] FILING STATUS: New DESCRIPTION: Covered Short	P	10/21/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	BellRing Brands, Inc. Class A (BRBR) [ST] FILING STATUS: New DESCRIPTION: Covered Short	S	12/13/2019	01/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
2000076246	BlackLine, Inc. (BL) [ST] FILING STATUS: Amended	P	07/02/2019	08/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
2000076394	BlackLine, Inc. (BL) [ST] FILING STATUS: Amended DESCRIPTION: Covered Short	P	01/13/2021	03/01/2021	\$15,001 - \$50,000	<input type="checkbox"/>
2000076270	BlackLine, Inc. (BL) [ST] FILING STATUS: Amended DESCRIPTION: Covered Short	S	02/12/2021	03/01/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	Carnival Corporation (CCL) [ST] FILING STATUS: New DESCRIPTION: Covered Short	P	01/31/2019	02/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Carnival Corporation (CCL) [ST] FILING STATUS: New DESCRIPTION: Covered Short	S	02/04/2019	03/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
2000076259	Castlight Health, Inc. Class B (CSLT) [ST] FILING STATUS: Amended	S	11/25/2019	12/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Dave & Buster's Entertainment, Inc. (PLAY) [ST] FILING STATUS: New DESCRIPTION: Covered Short	P	04/03/2019	05/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Dave & Buster's Entertainment, Inc. (PLAY) [ST] FILING STATUS: New DESCRIPTION: Covered Short	S	08/07/2019	09/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Domo, Inc. - Class B (DOMO) [ST] FILING STATUS: New DESCRIPTION: Covered Short. Represents four separate transactions closing the short position all occurring on the same date.	S	03/18/2019	04/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Domo, Inc. - Class B (DOMO) [ST] FILING STATUS: New DESCRIPTION: Covered Short	S	03/04/2019	04/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Enterprise Products Partners L.P. (EPD) [ST] FILING STATUS: New	S	01/13/2020	02/01/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	Equinix, Inc. (EQIX) [ST] FILING STATUS: New	S	07/30/2019	08/01/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
2000076218	Equinix, Inc. (EQIX) [ST] FILING STATUS: Amended	P	01/28/2019	02/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
2000076225	Equinix, Inc. (EQIX) [ST]	P	02/27/2019	03/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Filing Status: Amended					
2000076327	Equinix, Inc. (EQIX) [ST]	S	03/30/2020	04/01/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Filing Status: Amended					
2000076360	Equinix, Inc. (EQIX) [ST]	S	02/18/2020	03/01/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Filing Status: Amended					
	Equinix, Inc. (EQIX) [ST]	S	06/17/2019	07/01/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Filing Status: New					
	Equinix, Inc. (EQIX) [ST]	P	07/26/2019	08/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Filing Status: New					
	Everbridge, Inc. (EVBG) [ST]	S	03/22/2019	04/01/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Filing Status: New					
	Everbridge, Inc. (EVBG) [ST]	S	01/22/2020	02/01/2020	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Filing Status: New					
	Federal Realty Investment Trust (FRT) [ST]	P	07/22/2019	01/18/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Filing Status: New Description: Covered Short					
	Federal Realty Investment Trust (FRT) [ST]	P	01/18/2019	02/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Filing Status: New Description: Covered Short					
	Federal Realty Investment Trust (FRT) [ST]	S	10/25/2019	11/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Filing Status: New Description: Covered Short					
	Federal Realty Investment Trust (FRT) [ST]	S	10/25/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Filing Status: New Description: Covered Short					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Five9, Inc. (FIVN) [ST]  FILING STATUS: New	S	01/23/2020	02/01/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Flexion Therapeutics, Inc. (FLXN) [ST]  FILING STATUS: New	S	05/05/2020	06/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Flexion Therapeutics, Inc. (FLXN) [ST]  FILING STATUS: New	S	05/07/2020	06/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	Flexion Therapeutics, Inc. (FLXN) [ST]  FILING STATUS: New	S	05/08/2020	06/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
2000076325	Flexion Therapeutics, Inc. (FLXN) [ST]  FILING STATUS: Amended	S	04/27/2020	06/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
2000076233	Instructure, Inc. (INST) [ST]  FILING STATUS: Amended	S	04/16/2019	05/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Kellogg Company (K) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	11/26/2019	12/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Kellogg Company (K) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	11/29/2019	12/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Macerich Company (MAC) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	05/29/2019	06/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Merck & Company, Inc. Common Stock (MRK) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	08/24/2020	09/01/2020	\$15,001 - \$50,000	<input type="checkbox"/>

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2000076285	Merck & Company, Inc. Common Stock (MRK) [ST]  FILING STATUS: Amended	S	11/04/2020	12/01/2020	\$15,001 - \$50,000	<input type="checkbox"/>
	MicroStrategy Incorporated - Class A (MSTR) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	07/09/2019	08/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	MicroStrategy Incorporated - Class A (MSTR) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	08/14/2019	09/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	MiMedx Group, Inc (MDXG) [ST]  FILING STATUS: New DESCRIPTION: Covered Short. Represents six separate transactions closing the short position all occurring on the same date.	S	05/30/2019	06/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
2000076215	Navigator Holdings Ltd. Ordinary Shares (NVGS) [ST]  FILING STATUS: Deleted	P	01/03/2019	02/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	01/31/2019	02/01/2019	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Peloton Interactive, Inc. (PTON) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	10/22/2019	11/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Peloton Interactive, Inc. (PTON) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	09/27/2019	10/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Peloton Interactive, Inc. (PTON) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	11/19/2019	12/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Pool Corporation (POOL) [ST]	P	07/18/2019	08/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
	Pool Corporation (POOL) [ST]	S	10/15/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
	Post Holdings, Inc. (POST) [ST]	P	10/25/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
	Post Holdings, Inc. (POST) [ST]	S	10/29/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
2000076361	Power Solutions International, Inc. (PSIX) [ST]	P	12/30/2019	01/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended					
2000076220	Red Hat, Inc. (RHT) [ST]	S	01/04/2019	03/01/2019	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
	FILING STATUS: Amended DESCRIPTION: Represents two separate transactions closing the position both occurring on the same date.					
	Regency Centers Corporation (REG) [ST]	S	11/26/2019	12/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
	Restaurant Brands International Inc. Common Shares (QSR) [ST]	P	04/30/2019	05/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
	Restaurant Brands International Inc. Common Shares (QSR) [ST]	S	10/28/2019	11/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Restaurant Brands International Inc. Common Shares (QSR) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	10/02/2019	11/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Simon Property Group, Inc. (SPG) [ST]  FILING STATUS: New DESCRIPTION: Covered Short. Represents two separate transactions closing the position both occurring on the same date.	S	01/18/2019	02/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Tabula Rasa HealthCare, Inc. (TRHC) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	11/07/2019	12/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Tabula Rasa HealthCare, Inc. (TRHC) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	11/08/2019	12/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Tanger Factory Outlet Centers, Inc. (SKT) [ST]  FILING STATUS: New DESCRIPTION: Covered Short. Represents four separate transactions closing the short position all occurring on the same date.	S	05/31/2019	06/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Tesla, Inc. (TSLA) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	04/23/2019	05/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	Tesla, Inc. (TSLA) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	P	04/04/2019	05/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	Tesla, Inc. (TSLA) [ST]  FILING STATUS: New DESCRIPTION: Covered Short	S	05/03/2019	06/01/2019	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
	Viomi Technology Co., Ltd - American Depositary Shares	S	03/29/2019	04/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	(VIOT) [ST] FILING STATUS: New DESCRIPTION: Covered Short. Represents three separate transactions closing the short position all occurring on the same date.					
	Zuora, Inc. Class A (ZUO) [ST]	S	12/13/2019	01/01/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Covered Short					
2000076228	Zuora, Inc. Class A (ZUO) [ST]	P	03/22/2019	04/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended					
2000076249	Zuora, Inc. Class A (ZUO) [ST]	S	07/25/2019	08/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Amended					
2000076257	Zuora, Inc. Class A (ZUO) [ST]	P	11/14/2019	12/01/2019	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: Deleted					
2000076241	Zuora, Inc. Class A (ZUO) [ST]	P	06/14/2019	07/01/2019	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: Deleted					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## COMMENTS

To provide additional clarity on transactions involving short sales, the initial sale of stock was marked as a "purchase" and the subsequent purchase was marked as a "sale," given the counterintuitive nature of short sales and the goal of providing full transparency. All such transactions have been described in the comments as a "Covered Short" to distinguish them from any other type of transaction.

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Tom Malinowski , 07/09/2021