# Periodic Transaction Report

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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	S raditional IRA	06/03/2021	06/10/2021	\$15,001 - \$50,000	<b>V</b>
	SP	S&P Global Inc. (SPGI) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	06/03/2021	06/10/2021	\$15,001 - \$50,000	
	SP	S&P Global Inc. (SPGI) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - T	P raditional IRA	06/04/2021	06/11/2021	\$1,001 - \$15,000	

 $<sup>*</sup> For the complete list of asset type abbreviations, please visit \underline{ {\tt https://fd.house.gov/reference/asset-type-codes.aspx.} \\$ 

### ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# INITIAL PUBLIC OFFERINGS

C Yes C No

# **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the

best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

 $\textbf{Digitally Signed:} \ \text{Hon. Alan S. Lowenthal , } \ 06/13/2021$