

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

### FILER INFORMATION

Name:	Hon. Alan S. Lowenthal
Status:	Member
State/District:	CA47

#### TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] Filing Status: New Subholding Of: Neuberger Berman - Tr	<b>S (partial)</b> aditional IRA	05/11/2021	05/18/2021	\$1,001 - \$15,000	V
	SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] Filing Status: New Subholding Of: Neuberger Berman - Tr	<b>S (partial)</b> aditional IRA	05/12/2021	05/19/2021	\$1,001 - \$15,000	V
	SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] Filing Status: New Subholding Of: Neuberger Berman - Tr	<b>S (partial)</b> aditional IRA	05/14/2021	05/21/2021	\$1,001 - \$15,000	V
	SP	Virgin Galactic Holdings, Inc. (SPCE) [ST] Filing Status: New Subholding Of: Neuberger Berman - Tr	<b>S</b> aditional IRA	05/11/2021	05/18/2021	\$1,001 - \$15,000	

\* For the complete list of asset type abbreviations, please visit <u>https://fd.house.gov/reference/asset-type-codes.aspx</u>.

### ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# **INITIAL PUBLIC OFFERINGS**

€ Yes € No

# **CERTIFICATION AND SIGNATURE**

**I** CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 05/24/2021