



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Josh Gottheimer

Status: Member

State/District: NJ05

TRANSACTIONS

| ID | Owner Asset | Transaction Type | Date | Notification Date | Amount | Cap. Gains > \$200? |
|----|---|------------------|------------|-------------------|--------------------|-------------------------------------|
| JT | Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/16/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/15/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Alphabet Inc. - Class C Capital Stock (GOOG) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/09/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Central Garden & Pet Company (CENT) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/08/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | Dassault Systemes, S.A. American Depository Shares (DASTY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/13/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Diageo plc (DEO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/13/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |

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| JT | ENN Energy Holdings Ltd Un-sponsored ADR (XNGSY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/23/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | ENN Energy Holdings Ltd Un-sponsored ADR (XNGSY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/21/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Facebook, Inc. - Class A (FB) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/16/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Lithia Motors, Inc. (LAD) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/09/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | Lonza Group Ag Zuerich Un-sponsored ADR (LZAGY) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/13/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | lululemon athletica inc. (LULU) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S | 04/15/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | Match Group, Inc. (MTCH) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/07/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | MercadoLibre, Inc. (MELI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/16/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | P | 04/09/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | Open Lending Corporation - Class | P | 04/05/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |

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|----|---|--|------------------|------------|-------------------|--------------------|-------------------------------------|
| | | A (LPRO) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | PayPal Holdings, Inc. (PYPL) [ST] | S (partial) | | 04/28/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Pharmaceutical GRP LTD (CSPCY) [OT] | P | | 04/13/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: Publicly traded stock | | | | | |
| JT | Pharmaceutical GRP LTD (CSPCY) [OT] | P | | 04/15/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 LOCATION: US DESCRIPTION: Publicly traded stock | | | | | |
| JT | Roku, Inc. - Class A (ROKU) [ST] | S | | 04/16/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | SAP SE ADS (SAP) [ST] | S (partial) | | 04/13/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | SAP SE ADS (SAP) [ST] | S (partial) | | 04/12/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Shionogi & Co Ltd Unsponsored ADR (SGIOY) [ST] | P | | 04/14/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |
| JT | Spotify Technology S.A. Ordinary Shares (SPOT) [ST] | S (partial) | | 04/07/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| | | FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | | | | | |

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| JT | Tesla, Inc. (TSLA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/05/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | Tesla, Inc. (TSLA) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/30/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| JT | Twitter, Inc. (TWTR) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/30/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JT | UFP Industries, Inc. (UFPI) [ST] FILING STATUS: New SUBHOLDING OF: Morgan Stanley - Select UMA Account # 1 | S (partial) | 04/09/2021 | 05/06/2021 | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Morgan Stanley - Select UMA Account # 1 (Owner: JT)
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Josh Gottheimer , 05/07/2021