



Filing ID #20018523

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Dean Phillips  
**Status:** Member  
**State/District:** MN03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Raleigh NC Comb Enterprise Sys Rev 5% Mar 01 2021 dtd 02/10/2011 [GS]  FILING STATUS: New	S	03/01/2021	04/05/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	State Street Corp Var Rt 09/15/2165 dtd 05/21/2015 [CS]  FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	03/15/2021	04/05/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Wachovia Cap Trust III Var Rt Mar 15 2166 dtd 2/1/2006 [CS]  FILING STATUS: New DESCRIPTION: Preferred security	S	03/15/2021	04/05/2021	\$250,001 - \$500,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Dean Phillips , 04/07/2021