



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Sean Patrick Maloney

**Status:** Member

**State/District:** NY18

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Apple Inc. (AAPL) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New  SUBHOLDING OF: Inherited IRA  DESCRIPTION: Actual amount of transaction: \$2446.86  COMMENTS: Note regarding all transactions on this report: Filer and his brothers inherited their mother's IRA upon her death. After completion of probate, the estate's financial advisors liquidated the assets in June 2020. During preparations for filer's 2020 FD report, it was determined that these 8 transactions totaling \$11k should have been reported on a PTR. As soon as this oversight was discovered, this filing was submitted to provide full transparency into that sale.</p>					
	BlackRock, Inc. (BLK) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New  SUBHOLDING OF: Inherited IRA  DESCRIPTION: Actual amount of transaction: \$1031.86</p>					
	Diageo plc (DEO) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New  SUBHOLDING OF: Inherited IRA  DESCRIPTION: Actual amount of transaction: \$1044.32</p>					
	Fastenal Company (FAST) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New  SUBHOLDING OF: Inherited IRA  DESCRIPTION: Actual amount of transaction: \$1038.27</p>					
	Microsoft Corporation (MSFT) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Inherited IRA DESCRIPTION: Actual amount of transaction: \$1150.31					
	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Inherited IRA DESCRIPTION: Actual amount of transaction: \$1722.28					
	Roche Holdings AG Basel American Depository Shares (RHHBY) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Inherited IRA DESCRIPTION: Actual amount of transaction: \$1236.32					
	Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	S	06/26/2020	06/30/2020	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Inherited IRA DESCRIPTION: Actual amount of transaction: \$1381.13					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Inherited IRA  
DESCRIPTION: Inherited in 2019

## COMMENTS

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Sean Patrick Maloney , 04/02/2021