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FILER INFORMATION

Name: Hon. Mikie Sherrill

Status: Member State/District: NJ11

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	UBS Group AG Registered Ordinary Shares (UBS) [ST] FILING STATUS: New	S	03/09/2021		\$250,001 - \$500,000	

^{*} For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

INITIAL PUBLIC OFFERINGS

C Yes © No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Mikie Sherrill, 04/01/2021