



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		Adobe Inc. (ADBE) [ST]	P	03/17/2021	03/24/2021	\$898.16	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust					
JT		Ares Capital [CS]	S	03/18/2021	03/25/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust DESCRIPTION: Maturity date is 12/19/21, interest rate is 1.096%					
JT		Autodesk, Inc. (ADSK) [ST]	P	03/17/2021	03/24/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust					
JT		SPDR S&P Regional Banking ETF (KRE) [ET]	P	03/18/2021	03/25/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Malumed Lowenthal Rev Trust (Owner: JT)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 03/28/2021