# Periodic Transaction Report

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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	SPDR S&P Regional Banking ETF (KRE) [ET]	P	03/18/2021	03/25/2021	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Be	eneficiary IRA				

<sup>\*</sup> For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

### ASSET CLASS DETAILS

• Neuberger Berman - Beneficiary IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

C Yes No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 03/28/2021