Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Zimmer Biomet Holdings [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - To DESCRIPTION: Redeemed, maturity date		, .,	03/23/2021 988%	\$1,001 - \$15,000	
	SP	Zimmer Biomet Holdings [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - To DESCRIPTION: Redeemed, maturity date			03/23/2021 988%	\$1,001 - \$15,000	

^{*} For the complete list of asset type abbreviations, please visit $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal, 03/23/2021