



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

TRANSACTIONS

ID	Owner Asset	Transaction Date Type	Notification Date	Amount	Cap. Gains > \$200?
	Citigroup Inc. Var Rt Perp Mat dtd P 02/18/2021 [CS] FILING STATUS: New DESCRIPTION: Preferred security	02/10/2021	03/05/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Citigroup Inc. Var Rt Perp Mat dtd P 02/18/2021 [CS] FILING STATUS: New DESCRIPTION: Preferred security	02/19/2021	03/05/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Citigroup Inc. Var Rt Perp Mat dtd S (partial) 11/13/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	02/16/2021	03/05/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Citigroup Inc. Var Rt Perp Mat dtd S 11/13/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	02/19/2021	03/05/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Goldman Sachs Group Inc. Var Rt S Perp Mat dtd 04/23/2015 [CS] FILING STATUS: New DESCRIPTION: Preferred security	02/05/2021	03/05/2021	\$250,001 - \$500,000	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Dean Phillips , 03/09/2021