



Filing ID #20018334

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
JT		AstraZeneca PLC - American Depositary Shares (AZN) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust	P	02/22/2021	03/01/2021	\$1,001 - \$15,000	<input type="checkbox"/>
JT		SPDR Gold Trust (GLD) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Malumed Lowenthal Rev Trust	S	02/22/2021	03/01/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Malumed Lowenthal Rev Trust (Owner: JT)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 03/05/2021