



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Marie Newman

**Status:** Member

**State/District:** IL03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]  FILING STATUS: New SUBHOLDING OF: Individual 401(K)	S	01/22/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Apple Inc. (AAPL) [ST]  FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/11/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Apple Inc. (AAPL) [ST]  FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/22/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Best Buy Co., Inc. (BBY) [ST]  FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/08/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Boeing Company (BA) [ST]  FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/25/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Chewy, Inc. Class A (CHWY) [ST]  FILING STATUS: New	P	01/04/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Rollover IRA					
SP	Chewy, Inc. Class A (CHWY) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/08/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Chewy, Inc. Class A (CHWY) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/21/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	DocuSign, Inc. (DOCU) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/11/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	DocuSign, Inc. (DOCU) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/14/2021	02/03/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP	DocuSign, Inc. (DOCU) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call	P	01/14/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Duke Energy Corporation (DUK) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/11/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Duke Energy Corporation (DUK) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/21/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Duke Energy Corporation (DUK) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/15/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/21/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/22/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Exxon Mobil Corporation (XOM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/08/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	FedEx Corporation (FDX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/15/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	FedEx Corporation (FDX) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call	S	01/04/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/15/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	General Motors Company (GM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/22/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	International Business Machines Corporation (IBM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/21/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	International Business Machines Corporation (IBM) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	02/22/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/08/2021	02/03/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/15/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/22/2021	02/03/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP	McDonald's Corporation (MCD) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/25/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	MetLife, Inc. (MET) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/15/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	MetLife, Inc. (MET) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/11/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/14/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Microsoft Corporation (MSFT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/22/2021	02/03/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP	Moderna, Inc. (MRNA) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/22/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Moderna, Inc. (MRNA) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/22/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Moderna, Inc. (MRNA) [ST]	P	01/14/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Rollover IRA					
SP	Moderna, Inc. (MRNA) [ST]	S	01/08/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA					
JT	Moderna, Inc. (MRNA) [ST]	S	01/08/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN					
SP	Moderna, Inc. (MRNA) [OP]	P	01/14/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call					
SP	Netflix, Inc. (NFLX) [OP]	S	01/14/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call					
SP	Netflix, Inc. (NFLX) [ST]	S	01/14/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call					
SP	Netflix, Inc. (NFLX) [OP]	S	01/19/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call					
SP	Netflix, Inc. (NFLX) [OP]	P	01/19/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call					
SP	Netflix, Inc. (NFLX) [OP]	S	01/19/2021	02/04/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: Put					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Netflix, Inc. (NFLX) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: Put	S	01/19/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Netflix, Inc. (NFLX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/25/2021	02/03/2021	\$50,001 - \$100,000	<input type="checkbox"/>
SP	Netflix, Inc. (NFLX) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/22/2021	02/03/2021	\$100,001 - \$250,000	<input type="checkbox"/>
JT	Salesforce.com Inc (CRM) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN	S	01/22/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Twitter, Inc. (TWTR) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/11/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Twitter, Inc. (TWTR) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/21/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	United Airlines Holdings, Inc. (UAL) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/21/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Walmart Inc. (WMT) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	P	01/14/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Wayfair Inc. Class A (W) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/08/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Wayfair Inc. Class A (W) [ST]	P	01/25/2021	02/03/2021	\$15,001 -	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Rollover IRA				\$50,000	
SP	Wayfair Inc. Class A (W) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: Call	S	01/25/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Wayfair Inc. Class A (W) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: Call	S	01/26/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Wayfair Inc. Class A (W) [ST] FILING STATUS: New SUBHOLDING OF: Rollover IRA	S	01/15/2021	02/03/2021	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JT	YETI Holdings, Inc. (YETI) [ST] FILING STATUS: New SUBHOLDING OF: Charles Schwab JT TEN	P	01/22/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Zoom Technologies, Inc. (ZOOM) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call	S	01/15/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Zoom Technologies, Inc. (ZOOM) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call	S	01/15/2021	02/03/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Zoom Technologies, Inc. (ZOOM) [OP] FILING STATUS: New SUBHOLDING OF: Rollover IRA DESCRIPTION: call	P	01/04/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Zoom Video Communications, Inc. - Class A (ZM) [ST] FILING STATUS: New	S	01/04/2021	02/03/2021	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Date Type	Notification Amount Date	Cap. Gains > \$200?
SUBHOLDING OF: Rollover IRA				

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- Charles Schwab JT TEN (Owner: JT)  
DESCRIPTION: JAMES D NEWMAN & MARIE K NEWMAN JT TEN
- Individual 401(K) (Owner: SP)  
DESCRIPTION: James D Newman Charles Schwab & Co Inc Cust James D Newman & Assoc 401(K)
- Rollover IRA  
DESCRIPTION: Marie K Newman Charles Schwab & Co Inc Cust IRA Rollover
- Rollover IRA (Owner: SP)  
DESCRIPTION: James D Newman Charles Schwab & Co Inc Cust IRA Rollover

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Marie Newman , 02/13/2021