



Filing ID #20018175

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Dean Phillips**Status:** Member**State/District:** MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Abbott Laboratories (ABT) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Abbott Laboratories (ABT) [ST] FILING STATUS: New	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S	01/12/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/07/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Accenture plc Class A Ordinary Shares (ACN) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/11/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

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	Alexion Pharmaceuticals, Inc. (ALXN) [ST] FILING STATUS: New	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	American Airlines Group, Inc. (AAL) [ST] FILING STATUS: New	S (partial)	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Arch Capital Group Ltd. (ACGL) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	01/08/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	AT&T Inc. (T) [ST] FILING STATUS: New	S (partial)	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Booking Holdings Inc. (BKNG) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/12/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/11/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Chubb Limited (CB) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	01/08/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	DTE Energy Company (DTE) [ST] FILING STATUS: New	S	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Equitable Holdings Inc. [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Eversource Energy (ES) [ST] FILING STATUS: New	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Fresenius Medical Care AG (FMS) [ST] FILING STATUS: New	P	01/12/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>

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	SUBHOLDING OF: - Trust 1					
	Fresenius Medical Care AG (FMS) [ST]	P	01/11/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Goldman Sachs Group Inc. Var Rt Perp Mat dtd 04/23/2015 [CS]	S	01/19/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred security					
	Honeywell International Inc. (HON) [ST]	S (partial)	01/25/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	Iron Mountain Incorporated (IRM) [ST]	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	PACCAR Inc. (PCAR) [ST]	S (partial)	01/21/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: - Trust 1					
	SVB Financial Group Var RT Perp Mat dtd 02/02/2021 [CS]	P	01/26/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred security					
	Tesla, Inc. (TSLA) [ST]	P	01/05/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					
	Tiffany & Co. (TIF) [ST]	S	01/07/2021	02/08/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	US Bancorp VAR RT Perp Mat dtd 11/23/2015 [CS]	S (partial)	01/26/2021	02/08/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New DESCRIPTION: Preferred security					
	Wells Fargo & CO Var RT Perp Mat dtd 01/26/2021 [CS]	P	01/19/2021	02/08/2021	\$15,001 - \$50,000	<input type="checkbox"/>

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		FILING STATUS: New DESCRIPTION: Preferred security					
		WI St Transportation Rev Ref-Ser 2; 5.0%; Due 07/01/2026 [GS] FILING STATUS: New	P	01/08/2021	02/08/2021	\$15,001 - \$50,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Dean Phillips , 02/13/2021