



PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	DuPont de Nemours, Inc. (DD) [ST]		P	01/27/2021	02/03/2021	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST]		S (partial)	01/25/2021	02/01/2021	\$60.33	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST]		S (partial)	01/25/2021	02/01/2021	\$30.16	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					
SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]		S (partial)	01/25/2021	02/01/2021	\$430.77	<input checked="" type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					
SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]		S (partial)	01/27/2021	02/03/2021	\$238.02	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Beneficiary IRA					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

o Neuberger Berman - Beneficiary IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 02/05/2021