



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

| ID | Owner Asset  | Transaction Type | Date       | Notification Date | Amount   | Cap. Gains > \$200?                 |
|----|--|------------------|------------|-------------------|----------|-------------------------------------|
| SP | DraftKings Inc. - Class A (DKNG) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA                 | S                | 01/19/2021 | 01/26/2021        | \$614.78 | <input checked="" type="checkbox"/> |
| SP | Juniper Industrial Holdings, Inc. Class A (JIH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA  | P                | 01/19/2021 | 01/26/2021        | \$23.95  | <input type="checkbox"/>            |
| SP | Juniper Industrial Holdings, Inc. Class A (JIH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA  | P                | 01/22/2021 | 01/29/2021        | \$23.97  | <input type="checkbox"/>            |
| SP | Juniper Industrial Holdings, Inc. Class A (JIH) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA  | P                | 01/21/2021 | 01/28/2021        | \$12.03  | <input type="checkbox"/>            |
| SP | Kratos Defense & Security Solutions, Inc. (KTOS) [ST]<br>FILING STATUS: New<br>SUBHOLDING OF: Neuberger Berman - Beneficiary IRA | S (partial)      | 01/22/2021 | 01/29/2021        | \$60.00  | <input type="checkbox"/>            |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

o Neuberger Berman - Beneficiary IRA (Owner: SP)

### **INITIAL PUBLIC OFFERINGS**

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 02/02/2021