



# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	DraftKings Inc. - Class A (DKNG) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/19/2021	01/26/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Juniper Industrial Holdings, Inc. Class A (JIH) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	01/19/2021	01/26/2021	\$598.70	<input type="checkbox"/>
SP	Juniper Industrial Holdings, Inc. Class A (JIH) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	01/22/2021	01/29/2021	\$599.34	<input type="checkbox"/>
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	01/22/2021	01/29/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	01/25/2021	01/30/2021	\$754.22	<input checked="" type="checkbox"/>
SP	Kratos Defense & Security Solutions, Inc. (KTOS) [ST] FILING STATUS: New	S (partial)	01/25/2021	01/30/2021	\$754.23	<input checked="" type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SUBHOLDING OF: Neuberger Berman - Traditional IRA							
SP	Virgin Galactic Holdings, Inc. (SPCE) [ST]		S (partial)	01/25/2021	01/30/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FILING STATUS: New							
SUBHOLDING OF: Neuberger Berman - Traditional IRA							

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 02/02/2021