

# PERIODIC TRANSACTION REPORT

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#### FILER INFORMATION

Name: Hon. Christopher L. Jacobs

Status: Member State/District: NY27

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		Assembly Biosciences, Inc. (ASMB) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM		12/28/2020 etric	01/12/2021	\$1,001 - \$15,000	
		Reata Pharmaceuticals, Inc Class A (RETA) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM		12/28/2020 etric	01/12/2021	\$1,001 - \$15,000	П
		Sorrento Therapeutics, Inc. (SRNE) [ST] FILING STATUS: New SUBHOLDING OF: CL TRUST > LDJ FAM		12/28/2020 etric	01/12/2021	\$1,001 - \$15,000	П

 $<sup>{}^* \</sup> For \ the \ complete \ list \ of \ asset \ type \ abbreviations, \ please \ visit \ \underline{https://fd.house.gov/reference/asset-type-codes.aspx}.$ 

### ASSET CLASS DETAILS

- CL TRUST
- $\circ \ \ \mathsf{CL}\,\mathsf{TRUST} \Rightarrow \mathsf{LDJ}\,\mathsf{FAM}\,\mathsf{INV}\,\mathsf{LLC}$
- CL TRUST  $\Rightarrow$  LDJ FAM INV LLC  $\Rightarrow$  Parametric

## INITIAL PUBLIC OFFERINGS

O Yes O No

# **C**ERTIFICATION AND **S**IGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Christopher L. Jacobs , 02/10/2021