



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Alibaba Group Holding Limited American Depository Shares each representing eight Ordinary share (BABA) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	12/28/2020	01/09/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Amphenol Corp [CS] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: 5.375% interest, maturity date is 4/1/24.	P	12/22/2020	01/09/2021	\$15,001 - \$50,000	<input type="checkbox"/>
SP	Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: I sold some of this stock later the same day.	S (partial)	12/23/2020	01/09/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Fidelity National Information Services, Inc. (FIS) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S (partial)	12/23/2020	01/09/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Juniper Industrial Holdings, Inc. Class A (JIH) [ST] FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	01/08/2021	01/09/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SP	Zimmer Biomet Holdings [CS]	S (partial)	12/31/2020	01/09/2021	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Neuberger Berman - Traditional IRA</p> <p>DESCRIPTION: This was a partial call of a bond.</p>							

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Alan S. Lowenthal , 01/09/2021