



Filing ID #20017999

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S	12/24/2020	01/09/2021	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Fidelity National Information Services, Inc. (FIS) [ST]  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual	S (partial)	12/23/2020	01/09/2021	\$268.95	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## ASSET CLASS DETAILS

- o Neuberger Berman - Individual (Owner: SP)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Alan S. Lowenthal , 01/09/2021