



Filing ID #20017991

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Dean Phillips**Status:** Member**State/District:** MN03

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Akamai Technologies, Inc. (AKAM) [ST] FILING STATUS: New	S	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	American Airlines Group, Inc. (AAL) [ST] FILING STATUS: New	P	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Arconic Corporation (ARNC) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	AT&T Inc. (T) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Baxter International Inc. (BAX) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Boston Scientific Corporation (BSX) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Charles Schwab Corp Var Rt Perp Mat Dtd 12/11/2020 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	P	12/08/2020	01/07/2021	\$15,001 - \$50,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Cisco Systems, Inc. (CSCO) [ST] FILING STATUS: New	P	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Citizens Financial Group, Inc. (CFG) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Citrix Systems, Inc. (CTXS) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Clorox Company (CLX) [ST] FILING STATUS: New	S	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Comcast Corporation - Class A (CMCSA) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/16/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Consolidated Edison, Inc. (ED) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Digital Realty Trust, Inc. (DLR) [ST] FILING STATUS: New	S	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Dollar Tree, Inc. (DLTR) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Equinix, Inc. (EQIX) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Fifth Third Bancorp 09/30/2165 DTD 06/05/2014 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Fifth Third Bancorp 09/30/2165 DTD 06/05/2014 [CS] FILING STATUS: New DESCRIPTION: Corporate Bond	S	12/24/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	First Citizens Bancshare PFD [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	12/16/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	First Citizens Bancshare PFD [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	12/29/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Gilead Sciences, Inc. (GILD) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	HD Supply Holdings, Inc. (HDS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	12/17/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	HD Supply Holdings, Inc. (HDS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	HD Supply Holdings, Inc. (HDS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S (partial)	12/21/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	HD Supply Holdings, Inc. (HDS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	S	12/22/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	Intel Corporation (INTC) [ST] FILING STATUS: New	P	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Jack Henry & Associates, Inc. (JKHY) [ST] FILING STATUS: New	S	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	JP Morgan Chase & Co. (JPM) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/16/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	Masco Corporation (MAS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/09/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Masco Corporation (MAS) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/10/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	NiSource Inc (NI) [ST] FILING STATUS: New	S	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Salesforce.com Inc (CRM) [ST] FILING STATUS: New	S (partial)	12/18/2020	01/07/2021	\$15,001 - \$50,000	<input type="checkbox"/>
	SBA Communications Corporation - Class A (SBAC) [ST] FILING STATUS: New	S	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Selective Insurance Group [CS] FILING STATUS: New DESCRIPTION: Preferred security	P	12/02/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Selective Insurance Group [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	12/31/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Selective Insurance Group [CS] FILING STATUS: New DESCRIPTION: Preferred security	S (partial)	12/07/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Simon Property Group, Inc. (SPG) [ST] FILING STATUS: New	P	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Sony Corporation (SNE) [ST] FILING STATUS: New SUBHOLDING OF: - Trust 1	P	12/16/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	Tesla, Inc. (TSLA) [ST]	P	12/18/2020	01/07/2021	\$50,001 - \$100,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New					
	Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]	S (partial)	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	FILING STATUS: New					
	Vertex Pharmaceuticals Incorporated (VRTX) [ST]	P	12/18/2020	01/07/2021	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o - Trust 1 (100% Interest)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Dean Phillips , 01/09/2021