HAND DELIVERED



# UNITED STATES HOUSE OF REPRESENTATIVES

**Periodic Transaction Report**

LEGISLATIVE RESOURCE CENTER
2020 FEB 19 PM 3:30
U.S. HOUSE OF REPRESENTATIVES

NAME: Fred Upton

OFFICE TELEPHONE: 202-225-3761

[X] Member of the U.S. House of Representatives
State: MI District: 6
File an original and 2 copies

[ ] Officer or Employee
Employing Office: _____
File an original and 1 copy

(For Official Use Only)

Did you purchase any shares that were allocated as a part of an Initial Public Offering? [ ] Yes [X] No

If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Please indicate whether this is an Initial report or an amended report. For amendments, please provide the date of the report you are amending.

[X] Initial Report [ ] Amendment

Date of Report Being Amended: _____

***A $200 penalty shall be assessed against anyone who files more than 30 days late.***

| SP DC JT | FULL ASSET NAME (Provide full name, not ticker symbol.) | TYPE OF TRANSACTION: Purchase | Sale | Partial Sale | Exchange | DATE OF TRANSACTION (MM/DD/YY) | DATE NOTIFIED OF TRANSACTION (MM/DD/YY) | A $1,001-$15,000 | B $15,001-$50,000 | C $50,001-$100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | These JP Morgan Trust transactions are managed w/o control or direction by me. I have no ownership in their holdings. | | | | | | | | | | | | | | | | | |
| JT | Example: Mega Corp. Common Stock | | X | | | 02/05/18 | 03/07/18 | | X | | | | | | | | | |
| | Amazon | [ ] | [X] | [ ] | [ ] | 2/13/20 | 2/14/20 | [X] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] |
| | Linde | [ ] | [X] | [ ] | [ ] | 2/13/20 | 2/14/20 | [X] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] |
| | Alphabet | [ ] | [X] | [ ] | [ ] | 2/13/20 | 2/14/20 | [X] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] |
| | Mastercard (CLA) | [ ] | [X] | [ ] | [ ] | 2/13/20 | 2/14/20 | [X] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] | [ ] |

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
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| | Charter Communications | | X | | 2/13/20 | 2/14/20 | X | | | | | | | | | | |
| | FISERV | | X | | 2/13/20 | 2/14/20 | X | | | | | | | | | | |
| | Honeywell | | X | | 2/13/20 | 2/14/20 | X | | | | | | | | | | |
| | United Health | | X | | 2/13/20 | 2/14/20 | X | | | | | | | | | | |
| | Apple | | X | | 2/13/20 | 2/14/20 | X | | | | | | | | | | |
| | Microsoft | | X | | 2/13/20 | 2/14/20 | X | | | | | | | | | | |
| | Whirlpool | | X | | 2/6/20 | 2/14/20 | | | X | | | | | | | | |

| NOTE NUMBER | FILER NOTES (optional) |
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UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

NAME: Fred Upton Page 3 of 3

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|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | EOG | | X | | 1/31/20 | 2/14/20 | X | | | | | | | | | | |
| | Amazon | X | | | 1/31/20 | 2/14/20 | X | | | | | | | | | | |
| | Diamondback Energy | X | | | 1/30/20 | 2/14/20 | X | | | | | | | | | | |
| | Quelcomr | | X | | 1/23/20 | 2/14/20 | X | | | | | | | | | | |
| | Whirlpool | | X | | 1/22/20 | 2/14/20 | | | X | | | | | | | | |
| | Thermo Fisher | X | | | 1/13/20 | 2/14/20 | X | | | | | | | | | | |
| | Facebook | X | | | 1/10/20 | 2/14/20 | X | | | | | | | | | | |

| NOTE NUMBER | FILER NOTES (optional) |
|---|---|
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