HAND DELIVERED



# UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

2020 FEB 10 AM 9:04

(For Official Use Only)

| | |
|---|---|
| NAME: Rohit Khanna | OFFICE TELEPHONE: 202-225-2631 |
| [X] Member of the U.S. House of Representatives<br>State: CA District: 17<br>File an original and 2 copies | [ ] Officer or Employee<br>Employing Office: _____<br>File an original and 1 copy |
| Did you purchase any shares that were allocated as a part of an Initial Public Offering? [ ] Yes [X] No<br>If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. | Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. [X] Initial Report [ ] Amendment<br>Date of Report Being Amended: _____ |

***A $200 penalty shall be assessed against anyone who files more than 30 days late.***

| SP DC JT | FULL ASSET NAME<br>Provide full name, not ticker symbol. | TYPE OF TRANSACTION<br>Purchase | Sale | Exchange | DATE OF TRANSACTION<br>(MM/DD/YY) | DATE NOTIFIED OF TRANSACTION<br>(MM/DD/YY) | AMOUNT OF TRANSACTION<br>A<br>$1,001-$15,000 | B<br>$15,001-$50,000 | C<br>$50,001-$100,000 | D<br>$100,001-$250,000 | E<br>$250,001-$500,000 | F<br>$500,001-$1,000,000 | G<br>$1,000,001-$5,000,000 | H<br>$5,000,001-$25,000,00 | I<br>$25,000,001-$50,000,000 | J<br>Over $50,000,000 | K<br>Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| JT | *Example*: Mega Corp. Common Stock | | X | | 02/05/015 | 03/07/15 | | X | | | | | | | | | |
| | **Please see the attached** | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
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| | | | | | | | | | | | | | | | | | |

| Full Asset Name | | Type of Transaction | | | Date of Transaction | Date Notified of Transaction | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP/DC/JT | Provide full name, not ticker symbol. | Purchase | Sale | Exchange | MM/DD/YY | MM/DD/YY | A $1,001-$15,000 | B $15,001-$50,000 | C $50,001 - $100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
| Areco | | | | | | | | | | | | | | | | | |
| SP | NETFLIX INC | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| DC | NETFLIX INC | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| SP | ALIGN TECHNOLOGY | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| DC | ALIGN TECHNOLOGY | X | | | 01/27/20 | 02/08/20 | X | | | | | | | | | | |
| SP | NVIDIA CORPORATION | X | | | 01/27/20 | 02/08/20 | | X | | | | | | | | | |
| DC | NVIDIA CORPORATION | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| SP | AMAZON COM INC | X | | | 01/27/20 | 02/03/20 | | X | | | | | | | | | |
| DC | AMAZON COM INC | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| SP | INTUIT INC | X | | | 01/27/20 | 02/03/20 | | X | | | | | | | | | |
| DC | INTUIT INC | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| SP | ILLUMINA INC | X | | | 01/27/20 | 02/08/20 | | X | | | | | | | | | |
| DC | ILLUMINA INC | X | | | 01/27/20 | 02/03/20 | X | | | | | | | | | | |
| SP | EQUINIX INC COM PAR $0.001 | | X | | 01/24/20 | 02/03/20 | X | | | | | | | | | | |

| Full Asset Name | | Type of Transaction | | | Date of Transaction | Date Notified of Transaction | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP/DC/JT | Provide full name, not ticker symbol. | Purchase | Sale | Exchange | MM/DD/YY | MM/DD/YY | A $1,001-$15,000 | B $15,001-$50,000 | C $50,001 - $100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
| M&R Trust Partnership | | | | | | | | | | | | | | | | | |
| SP | EQUINIX INC COM PAR $0.001 | | X | | 12/27/19 | 02/03/20 | X | | | | | | | | | | |

| SP/DC/JT | Full Asset Name<br>Provide full name, not ticker symbol. | Type of Transaction<br>Purchase | Sale | Exchange | Date of Transaction<br>MM/DD/YY | Date Notified of Transaction<br>MM/DD/YY | Amount of Transaction<br>A<br>$1,001-$15,000 | B<br>$15,001-$50,000 | C<br>$50,001-$100,000 | D<br>$100,001-$250,000 | E<br>$250,001-$500,000 | F<br>$500,001-$1,000,000 | G<br>$1,000,001-$5,000,000 | H<br>$5,000,001-$25,000,000 | I<br>$25,000,001-$50,000,000 | J<br>Over $50,000,000 | K<br>Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *Monte and Usha Ahuja 2010 Irrev Trust FBO Grandchildren* | | | | | | | | | | | | | | | | |
| DC | ALBEMARLE CORP CMN | x | | | 01/06/20 | 02/04/20 | x | | | | | | | | | | |
| DC | DUPONT DE NEMOURS INC CMN | x | | | 01/24/20 | 02/04/20 | x | | | | | | | | | | |
| DC | DUPONT DE NEMOURS INC CMN | x | | | 01/24/20 | 02/04/20 | x | | | | | | | | | | |
| DC | CORNING INCORPORATED CMN | x | | | 01/29/20 | 02/04/20 | x | | | | | | | | | | |
| DC | URBAN OUTFITTERS INC CMN | x | | | 01/21/20 | 02/04/20 | x | | | | | | | | | | |
| DC | URBAN OUTFITTERS INC CMN | x | | | 01/13/20 | 02/04/20 | x | | | | | | | | | | |
| DC | WPX ENERGY, INC. CMN | x | | | 01/24/20 | 02/04/20 | x | | | | | | | | | | |
| DC | APPLE INC. CMN | | x | | 01/13/20 | 02/04/20 | x | | | | | | | | | | |
| DC | BANK OF AMERICA CORP CMN | | x | | 01/30/20 | 02/04/20 | x | | | | | | | | | | |
| DC | ENTEGRIS, INC. CMN | | x | | 01/02/20 | 02/04/20 | x | | | | | | | | | | |
| DC | ENTEGRIS, INC. CMN | | x | | 01/14/20 | 02/04/20 | x | | | | | | | | | | |
| DC | BANK OF AMERICA CORP CMN | | x | | 01/30/20 | 02/04/20 | x | | | | | | | | | | |
| DC | GRAPHIC PACKAGING HLDGCO CMN | | x | | 01/27/20 | 02/04/20 | x | | | | | | | | | | |
| | *Ritu Ahuja 1994 Trust* | | | | | | | | | | | | | | | | |
| SP | EXXON MOBIL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | AMAZON.COM INC CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ILLINOIS FIN AUTH REV REV 5% 10/01/20 AO | x | | | 01/02/20 | 02/04/20 | | x | | | | | | | | | |
| SP | JPMORGAN CHASE & CO CMN | x | | | 01/09/20 | 02/04/20 | | x | | | | | | | | | |
| SP | WALT DISNEY COMPANY (THE) CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | INTEL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | SALESFORCE.COM, INC CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | BERKSHIRE HATHAWAY INC. CLASS B | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | DUPONT DE NEMOURS INC CMN | x | | | 01/24/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ADOBE INC CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | TEXAS INSTRUMENTS INC. CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ANALOG DEVICES, INC. CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | DUPONT DE NEMOURS INC CMN | x | | | 01/24/20 | 02/04/20 | | x | | | | | | | | | |
| SP | CORNING INCORPORATED CMN | x | | | 01/29/20 | 02/04/20 | | x | | | | | | | | | |
| SP | WELLS FARGO & CO (NEW) CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | LINCOLN NATL CORP.INC. CMN | x | | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ALBEMARLE CORP CMN | x | | | 01/06/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AFLAC INCORPORATED CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MICRON TECHNOLOGY, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CHUBB LIMITED CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PFIZER INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MERCK & CO., INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ELI LILLY & CO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SIMON PROPERTY GROUP INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | GENERAL MOTORS COMPANY CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | QUALCOMM INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | URBAN OUTFITTERS INC CMN | x | | | 01/17/20 | 02/04/20 | x | | | | | | | | | | |
| SP | INGERSOLL-RAND PLC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AON PLC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SERVICENOW INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FIDELITY NATL INFO SVCS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BIOGEN INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DUPONT DE NEMOURS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EOG RESOURCES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MAXIM INTEGRATED PRODUCTS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | GENERAL ELECTRIC CO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORTHERN TRUST CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | URBAN OUTFITTERS INC CMN | x | | | 01/13/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CINCINNATI FINANCIAL CRP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EXELON CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EMERSON ELECTRIC CO. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BANK OF AMERICA CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | KLA CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMPHENOL CORP CL-A (NEW) CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |

| SP/DC/JT | Full Asset Name<br>Provide full name, not ticker symbol. | Type of Transaction<br>Purchase | Sale | Exchange | Date of Transaction<br>MM/DD/YY | Date Notified of Transaction<br>MM/DD/YY | Amount of Transaction<br>A<br>$1,001-$15,000 | B<br>$15,001-$50,000 | C<br>$50,001 -$100,000 | D<br>$100,001-$250,000 | E<br>$250,001-$500,000 | F<br>$500,001-$1,000,000 | G<br>$1,000,001-$5,000,000 | H<br>$5,000,001-$25,000,000 | I<br>$25,000,001-$50,000,000 | J<br>Over $50,000,000 | K<br>Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | EDWARDS LIFESCIENCES CORPORATI CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | THE WILLIAMS COMPANIES, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERICAN EXPRESS CO. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | L3HARRIS TECHNOLOGIES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PNC FINANCIAL SERVICES GROUP, CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WELLTOWER INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NVIDIA CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | HILTON WORLDWIDE HOLDINGS INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MARSH & MCLENNAN CO INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TJX COMPANIES INC (NEW) CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FEDEX CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UNUM GROUP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VENTAS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DOLLAR GENERAL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | URBAN OUTFITTERS INC CMN | x | | | 01/10/20 | 02/04/20 | x | | | | | | | | | | |
| SP | URBAN OUTFITTERS INC CMN | x | | | 01/13/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CATERPILLAR INC (DELAWARE) CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ROCKWELL AUTOMATION INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NETFLIX, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FRANKLIN RESOURCES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | KIMBERLY-CLARK CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SKYWORKS SOLUTIONS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | IQVIA HOLDINGS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | E*TRADE FINANCIAL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ADVANCED MICRO DEVICES, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CUMMINS INC COMMON STOCK | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PUBLIC STORAGE CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CME GROUP INC. CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MSCI INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NISOURCE INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORFOLK SOUTHERN CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | N V R INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CDW CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CORTEVA, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CHIPOTLE MEXICAN GRILL, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | QORVO, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CNA FINCL CORP. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERIPRISE FINANCIAL, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TRANSDIGM GROUP INCORPORATED CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | JOHNSON & JOHNSON CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DOVER CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ARTHUR J GALLAGHER & CO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | INTERNATIONAL PAPER CO. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SCHLUMBERGER LTD CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TWITTER, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | XYLEM INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | RAYMOND JAMES FINANCIAL, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | GENERAL DYNAMICS CORP. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UNION PACIFIC CORP. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UNITED AIRLINES HOLDINGS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | IDEX CORPORATION COMMON STOCK | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | THE PROGRESSIVE CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NIKE CLASS-B CMN CLASS B | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ZEBRA TECHNOLOGIES INC CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WPX ENERGY, INC. CMN | x | | | 01/24/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LAS VEGAS SANDS CORP. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMCOR PLC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TE CONNECTIVITY LTD CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WPX ENERGY, INC. CMN | x | | | 01/27/20 | 02/04/20 | x | | | | | | | | | | |

NAME: Rohit Khanna Page 2 of 11

| SP/DC/JT | Full Asset Name<br>Provide full name, not ticker symbol. | Type of Transaction<br>Purchase | Sale | Exchange | Date of Transaction<br>MM/DD/YY | Date Notified of Transaction<br>MM/DD/YY | Amount of Transaction<br>A<br>$1,001-$15,000 | B<br>$15,001-$50,000 | C<br>$50,001 -$100,000 | D<br>$100,001-$250,000 | E<br>$250,001-$500,000 | F<br>$500,001-$1,000,000 | G<br>$1,000,001-$5,000,000 | H<br>$5,000,001-$25,000,000 | I<br>$25,000,001-$50,000,000 | J<br>Over $50,000,000 | K<br>Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | HEALTHPEAK PROPERTIES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PIONEER NATURAL RESOURCES CO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MGM RESORTS INTERNATIONAL CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BRIGHTHOUSE FINANCIAL, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VERTEX PHARMACEUTICALS INCORPO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DARDEN RESTAURANTS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TRUIST FINANCIAL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ITT INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MKS INSTRUMENTS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | O'REILLY AUTOMOTIVE, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | HP INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TERADYNE INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | COCA-COLA EUROPEAN PARTNERS PL CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VERISIGN, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FORD MOTOR COMPANY CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | INTERACTIVE BROKERS GROUP, INC CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ECOLAB INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | REPUBLIC SERVICES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MONOLITHIC POWER SYSTEMS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TD AMERITRADE HOLDING CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CARNIVAL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WESTERN DIGITAL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SNAP-ON INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VERISK ANALYTICS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AGCO CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CSX CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VF CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LAMB WESTON HOLDINGS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ABBVIE INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ARCH CAPITAL GROUP LTD. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ULTA BEAUTY INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EQUITY RESIDENTIAL CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MARVELL TECHNOLOGY GROUP LTD. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ATHENE HOLDING LTD. CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORWEGIAN CRUISE LINE HLDG LTD CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AUTOZONE, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PENTAIR PLC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LOEWS CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ENTEGRIS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AVALONBAY COMMUNITIES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CHEVRON CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | | | x | | | | | | | | |
| SP | APPLIED MATERIALS INC CMN | | x | | 01/08/20 | 02/04/20 | | | x | | | | | | | | |
| SP | BROADCOM INC. CMN | | x | | 01/08/20 | 02/04/20 | | | x | | | | | | | | |
| SP | CITIGROUP INC. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | APPLE INC. CMN | | x | | 01/13/20 | 02/04/20 | | x | | | | | | | | | |
| SP | BLACKROCK, INC. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | STARBUCKS CORP. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | PAYPAL HOLDINGS, INC. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | AMETEK INC (NEW) CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ILLINOIS TOOL WORKS CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | KINDER MORGAN INC CMN CLASS P | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | BANK OF AMERICA CORP CMN | | x | | 01/30/20 | 02/04/20 | | x | | | | | | | | | |
| SP | HARTFORD FINANCIAL SRVCS GROUP CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | S&P GLOBAL INC. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | WILLIS TOWERS WATSON PLC CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ON SEMICONDUCTOR CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | PEPSICO, INC. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | PACCAR INC CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | MICROCHIP TECHNOLOGY INCORPORA CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | GRAPHIC PACKAGING HLDGCO CMN | | x | | 01/27/20 | 02/04/20 | | x | | | | | | | | | |

| SP/DC/JT | Full Asset Name<br>Provide full name, not ticker symbol. | Type of Transaction<br>Purchase | Sale | Exchange | Date of Transaction<br>MM/DD/YY | Date Notified of Transaction<br>MM/DD/YY | Amount of Transaction<br>A<br>$1,001-$15,000 | B<br>$15,001-$50,000 | C<br>$50,001-$100,000 | D<br>$100,001-$250,000 | E<br>$250,001-$500,000 | F<br>$500,001-$1,000,000 | G<br>$1,000,001-$5,000,000 | H<br>$5,000,001-$25,000,000 | I<br>$25,000,001-$50,000,000 | J<br>Over $50,000,000 | K<br>Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | THE TRAVELERS COMPANIES, INC CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | AGILENT TECHNOLOGIES, INC. CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | BECTON, DICKINSON AND COMPANY CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | ENTEGRIS, INC. CMN | | x | | 01/02/20 | 02/04/20 | | x | | | | | | | | | |
| SP | EQUINIX, INC. RBT | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | XILINX INCORPORATED CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PRUDENTIAL FINANCIAL INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ROSS STORES,INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | STERLING BANCORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | METLIFE, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERICAN INTL GROUP, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ENTEGRIS, INC. CMN | | x | | 01/14/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FIRST REPUBLIC BANK CMN SERIES | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FIREEYE, INC. CMN | | x | | 01/07/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ORACLE CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ENTEGRIS, INC. CMN | | x | | 01/13/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BANK OF AMERICA CORP CMN | | x | | 01/30/20 | 02/04/20 | x | | | | | | | | | | |
| SP | YUM BRANDS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | INCYTE CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MERCK & CO., INC. CMN | | x | | 01/02/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TYSON FOODS INC CL-A CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | APPLE INC. CMN | | x | | 01/28/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DOLLAR TREE STORES, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DENTSPLY SIRONA INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DISH NETWORK CORPORATION CMN CLASS A | | x | | 01/24/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CYPRESS SEMICONDUCTOR CORPORAT CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DEERE & COMPANY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | APPLE INC. CMN | | x | | 01/10/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EXPEDIA GROUP INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LENNAR CORPORATION CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MARRIOTT INTERNATIONAL, INC CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | GRAPHIC PACKAGING HLDGCO CMN | | x | | 01/22/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FEDERAL RLTY INVT TR SBI CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | YANDEX N.V. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PRINCIPAL FINANCIAL GROUP, INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ENTEGRIS, INC. CMN | | x | | 01/10/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MONSTER BEVERAGE CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ENTEGRIS, INC. CMN | | x | | 01/02/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NCR CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MANPOWER GROUP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BOSTON PROPERTIES, INC. COMMON STOCK | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DISH NETWORK CORPORATION CMN CLASS A | | x | | 01/29/20 | 02/04/20 | x | | | | | | | | | | |
| SP | OCCIDENTAL PETROLEUM CORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FORTUNE BRANDS HOME & SECURITY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SEAGATE TECHNOLOGY PLC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CONSTELLATION BRANDS INC CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MATCH GROUP, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORTONLIFELOCK IN CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | HANESBRANDS INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PINNACLE FINL PARTNERS INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LAM RESEARCH CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AXALTA COATING SYSTEMS LTD. CMN | | x | | 01/29/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MACERICH COMPANY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | C.H. ROBINSON WORLDWIDE, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ALEXION PHARMACEUTICALS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | QURATE RETAIL INC CMN SERIES A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NXP SEMICONDUCTORS N.V. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | GRAPHIC PACKAGING HLDGCO CMN | | x | | 01/14/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DIGITAL REALTY TRUST, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WORLD WRESTLING ENTERTAINMENT CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |

| SP/DC/JT | Full Asset Name<br>Provide full name, not ticker symbol. | Type of Transaction<br>Purchase | Sale | Exchange | Date of Transaction<br>MM/DD/YY | Date Notified of Transaction<br>MM/DD/YY | Amount of Transaction<br>A<br>$1,001-$15,000 | B<br>$15,001-$50,000 | C<br>$50,001-$100,000 | D<br>$100,001-$250,000 | E<br>$250,001-$500,000 | F<br>$500,001-$1,000,000 | G<br>$1,000,001-$5,000,000 | H<br>$5,000,001-$25,000,000 | I<br>$25,000,001-$50,000,000 | J<br>Over $50,000,000 | K<br>Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | PERRIGO CO PLC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EXTRA SPACE STORAGE INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | F5 NETWORKS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ARISTA NETWORKS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | XEROX HOLDINGS CORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EBAY INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WAYFAIR INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERICAN AIRLINES GROUP INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | YUM CHINA HOLDINGS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | COTY, INC. CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | OSHKOSH CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PULTEGROUP INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EXELIXIS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BOEING COMPANY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TEXTRON INC.DEL CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | COSTCO WHOLESALE CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UGI CORPORATION (HOLDING CO) CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | THE KRAFT HEINZ CO CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ETSY, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NRG ENERGY, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | THE GOODYEAR TIRE & RUBBER CO. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| *Ritu Ahuja 1995 Trust* | | | | | | | | | | | | | | | | | |
| SP | METROPOLITAN GOVT NASHVILLE & GO 5% 07/01/20 JJ | x | | | 01/21/20 | 02/04/20 | | | | x | | | | | | | |
| SP | PENNSYLVANIA ST GO 5% 11/15/20 MN | x | | | 01/09/20 | 02/04/20 | | x | | | | | | | | | |
| SP | MADISON WIS GO 4% 10/01/20 AO | x | | | 01/07/20 | 02/04/20 | | x | | | | | | | | | |
| SP | EXXON MOBIL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | 3M COMPANY CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | HONEYWELL INTL INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BANK OF AMERICA CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PFIZER INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ELI LILLY & CO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FACEBOOK, INC. CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMAZON.COM INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MARRIOTT INTERNATIONAL, INC CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DUPONT DE NEMOURS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AFLAC INCORPORATED CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SIMON PROPERTY GROUP INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UNITED TECHNOLOGIES CORPORATIO CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NISOURCE INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BOSTON SCIENTIFIC CORP. COMMON STOCK | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | THE BANK OF NY MELLON CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERICAN EXPRESS CO. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ADOBE INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PRUDENTIAL FINANCIAL INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CHARLES SCHWAB CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MICRON TECHNOLOGY, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SALESFORCE.COM, INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DELTA AIR LINES, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | GENERAL MOTORS COMPANY CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VISA INC. CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CLOROX CO (THE) (DELAWARE) CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CENTERPOINT ENERGY, INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CHURCH & DWIGHT CO., INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | EXELON CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FIDELITY NATL INFO SVCS INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LENNAR CORPORATION CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VERIZON COMMUNICATIONS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORFOLK SOUTHERN CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | YUM BRANDS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CME GROUP INC. CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |

| SP/DC/JT | Full Asset Name: Provide full name, not ticker symbol. | Type of Transaction: Purchase | Sale | Exchange | Date of Transaction: MM/DD/YY | Date Notified of Transaction: MM/DD/YY | Amount of Transaction: A $1,001-$15,000 | B $15,001-$50,000 | C $50,001-$100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | HARTFORD FINANCIAL SRVCS GROUP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | OMNICOM GROUP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DARDEN RESTAURANTS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MASTERCARD INCORPORATED CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AUTODESK, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CONSTELLATION BRANDS INC CMN CLASS A | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SERVICENOW INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | INGERSOLL-RAND PLC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VENTAS, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UDR INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | C.H. ROBINSON WORLDWIDE, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UNUM GROUP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WEYERHAEUSER COMPANY CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | HILTON WORLDWIDE HOLDINGS INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ESSEX PROPERTY TRUST INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | REPUBLIC SERVICES INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CARNIVAL CORPORATION CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PINNACLE WEST CAPITAL CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DOW INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AUTOZONE, INC. CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TAKE TWO INTERACTIVE SOFTWARE INC | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MARSH & MCLENNAN CO INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORTHROP GRUMMAN CORP CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ACTIVISION BLIZZARD, INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LIVE NATION ENTERTAINMENT INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ULTA BEAUTY INC CMN | x | | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PUT/XSP @ 318 EXP 03/31/2020 | | x | | 01/21/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PUT/XSP @ 312 EXP 03/20/2020 | | x | | 01/15/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PUT/XSP @ 315 EXP 02/28/2020 | | x | | 01/09/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MC DONALDS CORP CMN | | x | | 01/08/20 | 02/04/20 | | x | | | | | | | | | |
| SP | STARBUCKS CORP. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WALT DISNEY COMPANY (THE) CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CHEVRON CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | UNION PACIFIC CORP. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AIR PRODUCTS & CHEMICALS INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | KINDER MORGAN INC CMN CLASS P | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ALPHABET INC. CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | METLIFE, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BOEING COMPANY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DUKE ENERGY CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | KIMBERLY-CLARK CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERICAN INTL GROUP, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AGILENT TECHNOLOGIES, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SYNOVUS FINANCIAL CORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PRINCIPAL FINANCIAL GROUP, INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PPG INDUSTRIES, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ELECTRONIC ARTS CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WILLIS TOWERS WATSON PLC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | TWITTER, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | D.R. HORTON, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | FEDEX CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DIVERSIFIED HEALTHCARE TRUST CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PACKAGING CORP OF AMERICA COMMON STOCK | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PUBLIC-SVC ENTERPRISE GROUP HOLDING CO | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LINCOLN NATL.CORP.INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | SEAGATE TECHNOLOGY PLC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORTONLIFELOCK IN CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | JOHNSON CONTROLS INTERNATIONAL CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | LOCKHEED MARTIN CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |

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| SP/DC/JT | Full Asset Name: Provide full name, not ticker symbol. | Type of Transaction: Purchase | Sale | Exchange | Date of Transaction MM/DD/YY | Date Notified of Transaction MM/DD/YY | Amount of Transaction: A $1,001-$15,000 | B $15,001-$50,000 | C $50,001-$100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Transaction in a Spouse or Dependent Child Asset over $1,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | XEROX HOLDINGS CORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CAPITAL ONE FINANCIAL CORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NCR CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DOLLAR TREE STORES, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MASCO CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VULCAN MATERIALS CO CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | STERLING BANCORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WASTE CONNECTIONS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MANPOWER GROUP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | RAYTHEON COMPANY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | DISCOVER FINANCIAL SERVICES CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WORKDAY, INC. CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | COLGATE-PALMOLIVE CO CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WYNN RESORTS, LIMITED CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | NORTHERN TRUST CORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MARATHON PETROLEUM CORPORATION CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | WESTERN ALLIANCE BANCORP CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | AMERICAN WATER WORKS CO, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MOHAWK INDUSTRIES INC COMMON STOCK | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | CONSOLIDATED EDISON INC CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | BOSTON PROPERTIES, INC. COMMON STOCK | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | VMWARE, INC. CMN CLASS A | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | PULTEGROUP INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | MACERICH COMPANY CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |
| SP | ARISTA NETWORKS, INC. CMN | | x | | 01/08/20 | 02/04/20 | x | | | | | | | | | | |

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| | Full Asset Name | Type of Transaction | | | Date of Transaction | Date Notified of Transaction | Amount of Transaction | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | A | B | C | D | E | F | G | H | I | J | K |
| SP/DC/JT | *Provide full name, not ticker symbol.* | *Purchase* | *Sale* | *Exchange* | *MM/DD/YY* | *MM/DD/YY* | *$1,001-$15,000* | *$15,001-$50,000* | *$50,001 -$100,000* | *$100,001-$250,000* | *$250,001-$500,000* | *$500,001-$1,000,000* | *$1,000,001-$5,000,000* | *$5,000,001-$25,000,000* | *$25,000,001-$50,000,000* | *Over $50,000,000* | *Transaction in a Spouse or Dependent Child Asset over $1,000,000* |
| *M & R Trust Partnership* | | | | | | | | | | | | | | | | | |
| SP | Strategic Value FNBA Investors LP Limited Partnership Interest | x | | | 01/10/20 | 01/02/20 | | x | | | | | | | | | |