



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Katherine M. Clark

Status: Member

State/District: MA05

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	ABB Ltd (ABB) [ST]		P	11/10/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	ABB Ltd (ABB) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Abbott Laboratories (ABT) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Abbott Laboratories (ABT) [ST]		S	11/10/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Alphabet Inc. - Class A (GOOGL) [ST]		S (partial)	11/10/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Apple Inc. (AAPL) [ST]		S	11/10/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Apple Inc. (AAPL) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	BlackRock, Inc. (BLK) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	BlackRock, Inc. (BLK) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Costco Wholesale Corporation (COST) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Costco Wholesale Corporation (COST) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Edwards Lifesciences Corporation (EW) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Edwards Lifesciences Corporation (EW) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Elanco Animal Health Incorporated (ELAN) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Elanco Animal Health Incorporated (ELAN) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Fidelity National Information Services, Inc. (FIS) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Fidelity National Information Services, Inc. (FIS) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	First Solar, Inc. (FSLR) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	First Solar, Inc. (FSLR) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	FLIR Systems, Inc. (FLIR) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	FLIR Systems, Inc. (FLIR) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	GlaxoSmithKline PLC (GSK) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	GlaxoSmithKline PLC (GSK) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Hologic, Inc. (HOLX) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Hologic, Inc. (HOLX) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Intel Corporation (INTC) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Intel Corporation (INTC) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Invitation Homes Inc. (INVH) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Invitation Homes Inc. (INVH) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Iron Mountain Incorporated (IRM) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Iron Mountain Incorporated (IRM) [ST]	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	JP Morgan Chase & Co. (JPM) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	JP Morgan Chase & Co. (JPM) [ST]	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	L3Harris Technologies, Inc. (LHX) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	L3Harris Technologies, Inc. (LHX) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Mastercard Incorporated (MA) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Mastercard Incorporated (MA) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Medtronic plc. Ordinary Shares (MDT) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Medtronic plc. Ordinary Shares (MDT) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	National Grid Transco, PLC National Grid PLC (NGG) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	National Grid Transco, PLC		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		National Grid PLC (NGG) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP		Novo Nordisk A/S (NVO) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Novo Nordisk A/S (NVO) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Oracle Corporation (ORCL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Oracle Corporation (ORCL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Orange (ORAN) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Orange (ORAN) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Pepsico, Inc. (PEP) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Pepsico, Inc. (PEP) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		S&P Global Inc. (SPGI) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	S&P Global Inc. (SPGI) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	SAP SE ADS (SAP) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	SAP SE ADS (SAP) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Skechers U.S.A., Inc. (SKX) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Skechers U.S.A., Inc. (SKX) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Sony Corporation (SNE) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	Sony Corporation (SNE) [ST]		S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Starbucks Corporation (SBUX) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA					
SP	Starbucks Corporation (SBUX) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					
SP	State Street Corporation (STT) [ST]		P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	State Street Corporation (STT) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	UBS Group AG Registered Ordinary Shares (UBS) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	UBS Group AG Registered Ordinary Shares (UBS) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Union Pacific Corporation (UNP) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Union Pacific Corporation (UNP) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Vanguard Materials ETF (VAW) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Vanguard Materials ETF (VAW) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP	Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP		Visa Inc. (V) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Xylem Inc. Common Stock New (XYL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>
SP		Xylem Inc. Common Stock New (XYL) [ST] FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	11/05/2020	12/28/2020	\$1,001 - \$15,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

ASSET CLASS DETAILS

- o Ameriprise SEP IRA (Owner: SP)
- o Ameriprise Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

Digitally Signed: Hon. Katherine M. Clark , 12/28/2020